A Calculus of Communicating Systems with Label Passing

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<u>Preface</u>

This report is essential Uffe Engbergs thesis for the M.Sc. degree from Department of Computer Science, Aarhus University – except that all proofs of theorems have been left out. Should anyone have interest in particular proofs, they may be obtained by contacting one of the authors.

In the original version of CCS, as presented in [Mill], <u>structured</u> dynamically evolving configurations such as the pushdown store can be obtained by means of recursion and the chaining combinator.

It is less clear than the same can be obtained for <u>unstructured</u> dynamically evolving configurations like the example studied in [Mill] chapter 9, which was the natural one of allowing unboundedly many concurrent activations of a single procedure in a concurrent programming language. There it was pointed out that a solution would be to allow the passage of communication links as values between one agent and another, but that CCS probably was defective in this respect. It was also noted that it's usefulness was not limited to language translations.

In [DoG] it is mentioned that in general, the exchange of ports (communication links) between agents, would be a natural way to model the exchange of communication capabilities.

In later versions of CCS (see [Mil2] and [Mil3]) a more basic calculus, which allow infinite summation but not direct value communication, were introduced. It was shown how the original version – a richer calculus – could be encoded. Value communication and manipulation was encoded essentially by indexing the labels and the agent identifiers. Labels or communication links could also be encoded (as special cases of values). A similar approach has been made by [AsZ] which conceptually only differs a little from Milners approach. A very different called LNET is presented in [KeS]. LNET might be described as a hybrid of actor languages and CCS.

In spite of this we have made a different approach for serveral reasons.

Although the later basic calculus in a sence allows the passsage of communication links as values between one agent and another Milner himself notices in [Mil3]: "It is quite certain that the slender syntax of our basic calculus and even the derived notations which we have considered, are not sufficient allways to present such applications [with passage of communication links] in a <u>lucid</u> way". Most of the problems are left for the "programmer".

Our approach will be more in keeping with the original version of CCS and at the same time widen the connection to the lambda-calculus and reduce the number of primitve operators (no relabelling) without loss of expressiveness. In [Mill] Milner ask the question wether CCS's primitive constructs are the smallest possible set and says that they need a re-examination. Since we have not got the relabelling oprator we thereby to some extend deal with this question. It is our belief that the parts of our approach which concerns this could be done for the basic calculus too.

We will now discuss what requirements the new calculus allowing passage of communincation links should meet. It will be referred to as ECCS (Extended CCS).

In what follows there is a sligt syntactical difference to CCS. $\alpha?x$.—— is written for αx .——, where x is bound by ? and it's scope is —— meaning that a value can be received at α . Similar we write $\alpha!v$.B for sending a value.

To get a first idea of what we mean by allowing passage of communication links (labels) consider the example:

$$B_0|B_1 = \propto |8.\beta? \times .\delta| \times .NIL| \propto ?y.\beta! y.NIL$$

which is a CCS program. It can according to CCS develop like:

If we replace 8 by λ it is no longer a CCS program, but we wish such communications of labels to be possible in ECCS. If x and y are replaced by x and y - variables qualifying over labels - we expect the program to be able to develop in the same way:

<u>Communication of labels</u> would be of no use if it was not <u>possible to use a received label for later communication</u>, so the following modification of the example:

$$B_0 | B_1 = \alpha! \lambda. \lambda? \times. \delta! \times. NIL | \alpha? y. y! 5. NIL$$

should be possible such that a development could be:

Up till now there has probably not been any problems to understand these basic requirements. This is due to the simplicity of the examples. In [Dog] the following more complicated example is studied:

$$(B_0|B_1)\setminus \alpha|B_2 = (\lambda? \nu. \nu!.NIL|\lambda|\alpha.\alpha?.NIL)\setminus \alpha|\lambda|\alpha.\alpha?.NIL$$

The agent B_0 can receive a label at λ and the label is bound to the variable γ . If the received label α comes from the agnet B_1 it agrees with our intuition if the system upon the communication results in:

$$(\propto!.NIL \propto?.NIL) \propto \lambda! \propto . \propto?.NIL.$$

But what should the result look like if the label received originates from the outside agent B_2 ? Should it be possible to pass \propto from B_2 to B_0 ? If the system instead looked like:

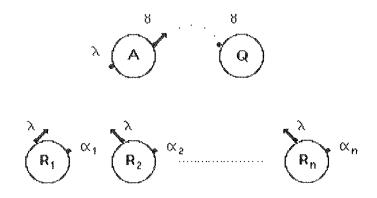
$$(B_0|B_3)\backslash\beta|B_2 = (\lambda? y. y!.NIL|\lambda!\beta.\beta?.NIL)\backslash\beta|\lambda!\alpha.\alpha?.NIL , \alpha \neq \beta$$

It would seem natural if the result was: $(\alpha!.NIL|B_3)\backslash\beta|\alpha?.NIL$. The labels visible for agent B_2 are the same in both cases. From the viewpoint of B_2 there seems no reason why $(B_0|B_1)\backslash\alpha$ and $(B_0|B_3)\backslash\beta$ should behave differently. It will therefore be a <u>central requirement to ECCS</u>, that the name of a label restricted shall be of no importence to the behaviour in the

same way as change of bound variable in $\lambda ? \times .--$ does not influence the behaviour in CCS. This also seems natural if one takes up the the attitude that one is communicating via links and that the communications via a certain link should be the same no matter what name is chosen for that link. In terms of experiments on machines as sketched in [MiII]: the buttons are the same no matter what name is printed on them.

The same question rises in a different situation and the problems seems closely connected. Let $\lambda? \not . B = \lambda? \not . (\propto !5.N | L| \propto? x. \not . | x.N | L) \setminus \infty$. What should the result look like after a label is received at λ and substituded for $\not . L$ in B? The situation is very similar to the one above, except for dependence of the names of the restricted labels is displaced to the substitution. We therfore demand the same independence of actual names used for restriction when substituting a label.

We will now study one further requirement to ECCS through an example mentioned in [Mil3]. Consider the agent $\bf A$ managing some resources: $\bf R_i$ (1414n) which signals to $\bf A$ via λ when they are available. Other agents makes requests for resources to $\bf A$ via δ . Let $\bf Q$ be such an agent potentially requesting. The situation can be pictured as:



where the resource \mathbf{R}_i is accessed through ∞_i . A common solution is to write the system as

$$(\mathbf{Q}|\mathbf{A}|\mathbf{R}_1|...|\mathbf{R}_n)\setminus A$$
, where $A = \{\alpha_i; 1 \leq i \leq n\}$

and to let **A** somewhere contain a subexpression like δ !i.B meaning that **A** communicate the index of an available resource via δ ; and let **Q** contain a subexpression like δ ?x.(... \propto_{χ} !... \propto_{χ} ?...) where δ ?k means receiving the index and using it for communication with resource \mathbf{R}_{χ} via \propto_{χ} . The problem of such solutions passing indexes as a kind of identification is that all potential resources must be known at the time when the administrator **A** and the system is written in order not to mix up indexes. Furthermore the family of indexed labels $\{\propto_i\}$ must be known in advance.

To illustrate this we consider a very simple system with a requesting agent and two resources.

 $\mathbf{Q} = \delta?x. \propto_{\mathbf{x}} !8. \propto_{\mathbf{x}} ?y. \delta!y. NIL$

 $\mathbf{R}_1 = \text{fi} \times X \langle \lambda | 1. \propto, ? \times, \infty, | 2 \times \times, X \rangle$

 $\mathbf{R}_2 = \text{fi} \times \text{X} \langle \lambda | 2. \infty_2? \times . \infty_2! \times + \times . X \rangle$

We leave out the details of **A**. A would in this example be $\{\alpha_1, \alpha_2\}$.

If one wants to add a new resource \mathbf{R}_3 to the system one must inspect the system to see that communications between requesting agents is done via labels of type \propto_X and that \propto_1 , \propto_2 already is used. It is not enough to know the way they communicate (the communication protocol they use) and that requests for resources are done to \mathbf{A} via a and resources availability is reported via λ . Furthermore A must be extended with $\{\propto_3\}$ if '3' is used to identify \mathbf{R}_3 .

This show a certain lack of modularity which we want to avoid.

Therefore it shall be possible to write the different agents independently of each other only knowing the interface to \mathbf{A} , i.e. \mathbf{R}_i knows λ of \mathbf{A} and \mathbf{Q} knows δ of \mathbf{A} . As a consequence it must be possible for \mathbf{R}_i to have a label which in a certain sence is unique in all contexts and which later can be used as communication link between \mathbf{R}_i and \mathbf{Q} . In addition the label shall remain unique or private to \mathbf{R}_i and \mathbf{Q} after it is communicated through \mathbf{A} via λ and δ , (except of course if it is communicated further from either \mathbf{Q} or \mathbf{R}_i).

Last but not least we impose the restriction to keep as close as possible to Milners CCS - e.g. <u>preserving as many as possible of the algebraic properties of CCS</u>. This requirement is actually quite independent of the extension we are after. With all the thoughts behind and the elegancy of CCS this must be a sound principle to apply to any attempt at extending CCS.

We will now give an idea of how ECCS can be made in order to meet these requirements.

Milner has already drawn attention to the connection between the binder "?" in \propto ?x.--- of CCS and the binder "\lambda" in the lambda-calculus ([Mil1]p.49). He has also introduced a textual substitution postfix which has similar characteristics as the substitution prefix of the lambda-calculus (see [Mil1]p.67) namely: when applied change of bound variables is done as necessary to avoid clashes. It is clear that the substitution postfix formally can be handled along the lines of the substitution prefix of the lambda-calculus as long as we only are concerning the binding construct \propto ?x.--. But it is less clear that the binding construct fix \tilde{X} introduced for recursion in [Mil2,3] can be handled formally within the same framework, especially when $\tilde{X}=<X_i; i\in I>$ an I-indexed family of destinct variables where I is a uncountable set. One of our aims will therefore be to lift the results for the extension where fix appears as binder too (only for finite \tilde{X}).

In order to meet the requirement of independence of actual names used for restriction we will furthermore widen the idea of bound and free occurences to include labels as well, with "\" as the binding symbol for labels. In the lambda-calculus a central notion is α -convertability between functions with respect to bound variables. The idea is that functions which are equal "up to bound variables" denotes the same function when applied to the same arguments. With our requirement that behaviour expressions which are equal "up to bound labels" should behave equal, it seems natural to extend the notion of α -convertability to include labels bound by "\".

The close relationship between substitution of variables and \propto -convertability (in the following just convertability) will therfore also be generalized to substitution of labels. At the same time we thereby obtain the possibility to change unbound labels of a behavior expression (i.e. the sort) and can therefore omit the postfixed relabelling operator.

For the third requirement (possibility to have a unique or private label, to communicate it and remain unique) notice that the label α in some sence is unique to B in B\ α since α can be used for internal communication and cannot interfer with other α 's appering in any contexts B\ α could be in. So in order to communicate α it must be possible to extend α 's scope to include the receipient. This and the remaining uniqueness is obtained through a (minor) extension of the inference rules.

To put the comments above differently, we want to extend CCS to allow passing of individual channels, viewing restriction as a formal binder, and to allow dynamic change of scope of such binders in connection with communication.

In order to get an idea of the possibilties of ECCS we turn back to the example of an administrator ${\bf A}$ and some agents ${\bf Q}_i$ requesting for some resources ${\bf R}_i$ via the administrator.

We will make the simplifying assumption that it does not matter what resource a requesting agent gets, though the resources may be implemented differently as long as they obay the same communication protocol. If the administrator use the "first come first served" policy it can be implemented as a fifo-queue where a requesting agent enters the queue at the rear and leaves it at the front when a resource is available:

$$A = (\delta?. newreq. T(newreq, \sigma)|\sigma!.NIL)\setminus \sigma$$
, where

Each name in italic denotes a labely arable. $(\lambda, \delta \neq \sigma)$.

The administrator can be viewed as consisting of a serie of elements each containing a waiting agent (oldreq). At the rear new request are received (oldreq) and a new element created. The front element receives the name of a available resource (oldreq) and passes it on to the waiting agent (oldreq) freeres). After doing this it signals to the next (oldreq) that it now is the new front element. Notice the elements at the front and rear can serve the agents and resources concurrently.

We now turn to the parts of the resources and requesting agents which concerns the communication between them and the administrator. A resource could look something like:

$$\mathbf{R}_{i} = \text{fixX}\tilde{p}\langle(\lambda! \propto \underline{\alpha?x}...\underline{\alpha!e}.X(...)) \rangle \qquad , \propto \neq \lambda$$

and a requesting agent:

$$\mathbf{Q}_{i} = \dots (\delta | \beta, \beta; x. \underline{x!e'}...\underline{x?y...}) \setminus \beta...$$
, $\beta \neq \delta$

The underlined actions corresponds to the communication protocol between requesting agents and resources for this special example. The other shown actions concerns the communication with the administrator.

The label \times in the resource is restricted and therefore unique or private for R_i . Upon sending it to the administrator it's scope is extended to include the administrator (in accordance with $Com \rightarrow (3)$ and $Res \rightarrow (2)$ of the section about deriviations). A new name is possibly chosen in order not to interfer with other labels within the new scope such that it remains private to the resource. Afterwards it is passed on to the requesting agent at the front of the queue and the scope include the agent in the same manner as before. The same happens when the requesting agent sends it's private label which acts like a identification – to the administrator. Notice that a resource after it has served an agent restores itself such that it sends a new private label when reporting that it is available.

To clarify the idea let us consider a possible development of a very simple scenery with two agents and one resource:

$$\mathbf{Q}_1 = (\delta | \beta, \beta; x, x | \delta, x; y, \delta | y, N | L) \beta$$

$$\mathbf{Q}_2 = (\delta | \beta, \beta; x, x | \delta, x; y, \epsilon | y, N | L) \beta$$

$$\mathbf{R}_1 = \{ (\lambda, \lambda, x; x, x | \delta, x; x; x, x | \lambda, x; x |$$

The system could be set up as:

$$(\mathbf{Q}_1 | \mathbf{Q}_2 | \mathbf{A} | \mathbf{R}) \setminus \delta \setminus \lambda$$

If the deriviations and equivalences in the following possible development is not clear to the reader we refer to section 3 and 4.

: : (8!16.NIL|ε!10.NIL| **A|R**)\δ\λ

It should be stressed that following the guidelines indicated abowe one obtain the required modularity mentioned earlier. The administrator can be constructed without knowing anything about the resources and the requesting agents except that the agents makes requests via δ and resources reports their availability at $\lambda.$ Similar for new resources and requesting agents one whish to add to the system. They can be added at any time whithout any inspection of the components already in the system.For instance we could add a new resource:

 $N = fixX\langle(\lambda|s,s?x,\alpha|x+x,X)\backslash s\rangle$

directly and the system would look like:

 $(\mathbf{Q}_1 | \mathbf{Q}_2 | \mathbf{A} | \mathbf{R} | \mathbf{N}) \setminus \delta \setminus \lambda$

No confusion of identifications etc. can arise. This way of adding new components to the system seems lucid compared to the "index-approach" where one have to inspect the system in detail.

We will now present ECCS in detail.

The intension of this section is to give a detailed presetation of the syntax of ECCS and to state conventions and notation.

For most purpuses it will do to look at the syntaxtable and the section should be used for reference when doubt arise.

In the original CCS details of types of variables and value expressions are avoided in favour to a more clear presentation. When allowing label passing we cannot avoid all such considerations.

Basicly we destinguish three types of values:

recursion definitions labels and other values

The last two can be communicated. Ofcource the set of variables of a certain type is <u>disjoint</u> to the corresponding set of values. Similar we assume sets of different types to be disjoint. We display explicit four such disjoint sets:

Value variables: $\bar{X}, \bar{X}_0, \dots, \bar{y}, \bar{y}_0, \dots$ Labelvariables: $X, X_0, \dots, y, y_0, \dots$ Recursion variables: $X, X_0, \dots, Y, Y_0, \dots$ Labels (or names): $x, x_0, \dots, x_0, \dots$ (the set denoted x_0)

which we assume are ordered and never exausted. We also have the symbol τ which does not belong to any sets, especially $\tau \not\in \Delta$. If nothing else mentioned the following symbols will denote:

- a: label or labelyariable
- b,c: variable or label (bindable elements)
- e: label, labelvariable or a valueexpression built from constant and function symbols as usual (e.g. used as actual parameters to recursion definitions)
- f: valueexpression alone
- p, x, x_0 ,..., y, y_0 ,...: labely a riables or value variables (e.g. used for formal parameters to recursion definitions)
- v: label or value
- M,N: label, labelvariable, value expression, recursion variable or a indexed recursion definition (see later)
- B, B_0, \dots, B' , $B', \dots E, E_0, \dots$: behaviour expressions

(Obviously we don't expect the reader to memorize these notations, but they do ease the presentation of our material, and the reader may inspect this list in case of daubt)

We are actually considering labels as values too (v denote also a label) but do only make an explicit destinction between different types of values: labels and "other" values.

From the ordering of the different sets lists are formed:

 $\underline{\text{List}}_{\text{D}}$ is defined to be the list obtained by ordering the set to which b belongs.

So if the labels are ordered as indicated above then e.g. List $_{\delta} = \alpha, \alpha_{0}, \dots$, β , β_{0}, \dots . Lists are used in the definition of the substitution prefix in the next section in order to make it unambiguous.

Vectors are used quite often and will be abbreviated. For instance the vector $\langle X_1,...,X_n \rangle$ will be abbreviated \widetilde{X} . If the dimension of the vector is of interest it will be indicated by placing it below to the right, i.e. in the example \widetilde{X}_n indicates that \widetilde{X} has dimension n.

In most cases when dealing with vectors of variables they shall meet the requirement that

all variables in a vector of variables are mutual different.

Therefore we will assume this requirement to be fulfiled except when something else mentioned.

If a function is defined on the elements of a set resulting in a subset of another set it is extended in the natural way to include vectors of these elements too. For instance if FV is the function giving the free variables of a behaviour expression then

$$FV(\tilde{E}) = \bigcup FV(E_i)$$
 and $FV(\tilde{E}_0) = FV(<>) = \emptyset$
 $i \in \{1, ..., n\}$

We will define four such functions described intuitively by

Free variables and labels:

<u>FV(B)</u> the free variables occurring in B, e.g. $y \in FV(\lambda?x.\lambda!x+y...)$.

<u>L(B)</u> the free labels in B, e.g. $\lambda \in L(\lambda?x.\lambda!x+y...)$. I.e. L(B) is the sort of B. $\mathfrak{F}(B):=FV(B)\cup L(B)$.

Bound varables and labels:

 $\underline{B(B)}$ is the bound labels and variables, e.g. $x, \alpha \in B((\lambda?x.\lambda!x+y...) \setminus \alpha)$

The function FV applied to a vector of variables will be abbreviated by placing curly brackets around it, e.g. $FV(\tilde{X})$ is written $\{\tilde{X}\}$. Similar for expressions: $FV(\tilde{e})=\{\tilde{e}\}$.

Two vectors are said to be <u>comparable</u> if they have the same dimension and corresponding elements are of the same type, i.e.:

 \tilde{M}_k comparable to \tilde{N}_n iff k=n and for each i (1414k) M; is of same type as N;

We say that two <u>vectors are equal</u> if they are comparable and the corresponding elements are equal:

$$\widetilde{M} = \widetilde{N}$$
 iff
 $M_i = N_i$ for all i

If two vectors \tilde{M} and \tilde{N} have <u>no free occuring elements in common</u> we write $\tilde{M} \# \tilde{N}$:

$$\widetilde{M} # \widetilde{N} \text{ iff}$$
 $\mathfrak{F}(\widetilde{M}) \cap \mathfrak{F}(\widetilde{N}) = \emptyset$

E.g. for vectors of variables: $\tilde{x} # \tilde{x}'$ iff $\{\tilde{x}\} \cap \{\tilde{x}'\} = \emptyset$.

Let A be a set. Then we write

In what comes it will be usefull with some terminolgy about recursion. A recursion defintion is fix $\tilde{\chi}\tilde{\rho}\tilde{E}$. A recursion defintion can be indexed as fix $\tilde{\chi}\tilde{\rho}\tilde{E}$. $\tilde{\rho}$ is called the formal parameters and in fix $\tilde{\chi}\tilde{\rho}\tilde{E}(\tilde{e})$ or $\chi(\tilde{e})$, \tilde{e} is called the actual parameters. Intuitively fix $\tilde{\chi}\tilde{\rho}\tilde{E}(\tilde{v})$ is the solution to the equations: $\tilde{\chi}=\tilde{E}$, where \tilde{E} is the result of substituting \tilde{v} for $\tilde{\rho}$ in \tilde{E} .

A recursion variable X_i is comparable to $fix_i \tilde{X} \tilde{p} \tilde{E}$ - an indexed recursion defintion.

Syntaxtable for behaviour expressions

Form	B" NIL λ?y.B χ?y.B λ!e.B χ!e.B τ.B	FV(B") Ø FV(B)-{y} (FV(B)-{y})∪{x} FV(B)∪{e} FV(B)∪{e} FV(B)∪{e}∪{x}	L(B") Ø L(B)υ{λ} L(B) L(B)υL(e) L(B)υL(e) L(B)	B(B") Ø B(B)∪{y} B(B)∪{y} B(B)∪B(e) B(B)∪B(e) B(B)
Inaction				
Action				
Summation	B+B'	FV(B)uFV(B')	L(B)UL(B')	B(B)∪B(B')
Composition	B B'	FV(B)uFV(B')	L(B)UL(B')	B(B)UB(B')
Restriction	B <u>\</u> ∝	FV(B)	L(B)-{∝}	B(B)∪{∝}
Recursion	<u>fix_i</u> x̃ṕÉ(e) X(e)	(FV(Ē)-{X,p̃})u{ē} {X}u{ē}	L(Ē)UL(ĕ) L(ĕ)	$B(\tilde{E})\cup\{\tilde{X},\tilde{p}\}\cup B(\tilde{e})$ $B(\tilde{e})$
Conditional	if f then B else B'	{f}uFV(B)uFV(B')	L(B)uL(B')	B(f)∪B(B)∪B(B')

The fixed elements of the syntax is underlined. We adopt the usual constructor precedance of CCS, i.e.

Restriction > Action > Composition > Summation > Conditional

If \tilde{e} contains no elements we write X instead of X(<>) and similar if \tilde{p} is a empty vector we write $fix_i\tilde{X}\tilde{E}$ in stead of $fix_i\tilde{X}<>\tilde{E}()$. Also if $\tilde{X}=\tilde{X}_1$ we write $fixX\tilde{p}E(\tilde{e})$ in stead of $fix_i\langle X>\tilde{p}\langle E>(\tilde{e})$.

Notes to the syntaxtable:

- i) The x in front of ? is a labelyariable wich is supposed to be bound to a label.
- ii) Notice that labels and labelvariables also are allowed as actual parameters to a recursion expression.
- iii) In a conditional expression no label or labelvariable may be contained in f to form a boolean expression.

Constraints on recursion expressions:

In recursion definitions as $fix\tilde{X}\tilde{p}\tilde{E}$, \tilde{X} and \tilde{E} shall be comparable. If \tilde{X} has dimension n then $fix\tilde{X}\tilde{p}\tilde{E}$ may only be indexed by $i\in\{1,...,n\}$ (except as mentioned when n=1). When a indexed recursion definition appears on the form $fix_{1}\tilde{X}\tilde{p}\tilde{E}(\tilde{e})$ then \tilde{p} and \tilde{e} must be comparable. A recursion variable X can occur free in several places in a behaviour expression. Let $X(\tilde{e})$ and $X(\tilde{e}')$ be two such occurences. Then \tilde{e} and \tilde{e}' must be comparable. E.g. the following example would make no sense:

$$\propto$$
?y.X(y) + β ?y. δ ?z.X(y,z)

We will state a further constraint on recursion expressions namely that they are <u>quardedly well-defined</u>. Though it can be checked at a syntactical level we will postphone it to the section of deriviations because it is motivated through it's consequences for the deriviations of a behaviour expression.

As perhaps indicated by the syntaxtable above behaviour expressions may only be finite, i.e. a behaviour expression shall consist of finitely many subterms. The constraint of guardedly well-definedness has as one of it's consequences that all behaviour expressions derived by finitely many actions from a certain behaviour expression also will be finite.

On the following pages we are going to define single substitution, i.e. a expression substituded for <u>one</u> element, e.g. [M/b]. The definition will make use of multiple substitution (or multiple replacement). Multiple substitution can be defined in terms of single substitution:

Definition 1

Let $\tilde{\mathbb{M}}$ and $\tilde{\mathbb{M}}$ be comparable and assume that either $\tilde{\mathbb{M}}$ \mathbb{M} or $\tilde{\mathbb{M}}$. Then the multiple substitution of $\tilde{\mathbb{M}}$ for $\tilde{\mathbb{M}}$ in $\tilde{\mathbb{M}}$: $\tilde{\mathbb{M}}$ is defined recursively as follows ($\tilde{\mathbb{M}}$ =< $\mathbb{M}_{\mathfrak{M}}$, \mathbb{M}), $\tilde{\mathbb{M}}$ =< $\mathbb{M}_{\mathfrak{M}}$, \mathbb{M} , $\tilde{\mathbb{M}}$ =< $\mathbb{M}_{\mathfrak{M}}$):

$$[\tilde{M}/\tilde{b}]B = [M_1/b_1]B \text{ if } n=1$$

$$[\widetilde{M}/\widetilde{b}]B = [M_n/b_n][\widetilde{M}_{n-1}/\widetilde{b}_{n-1}]B \text{ if } n>1$$

As an example let $\tilde{M} = fix\tilde{X}\tilde{E} = \langle fix_1\tilde{X}\tilde{E}, fix_2\tilde{X}\tilde{E} \rangle$, $\tilde{b} = \langle X_1, X_2 \rangle$. Then $[fix\tilde{X}\tilde{E}/\tilde{X}]B$ is really just a shorthand for $[fix_2\tilde{X}\tilde{E}/X_2][fix_1\tilde{X}\tilde{E}/X_1]B$.

When we write $[\tilde{M}/\tilde{b}]$ in the following we will assume the conditions of Def.1 to be satisfied.

It should be clear that there cannot be any interference between free variables of \tilde{b} and \tilde{M} , see also [Bar]p.30. Notice that our definition is not a general definition of multiple substitution. We have not found it necessary to use a general definition for our purpose and it would only complicate the proofs. A general definition can be found in [CFC]p.103.

The substitution prefix can be extended to vectors by the following defintion:

Defition 2

[M/b] \tilde{N}_n is defined to be the vector <[M/b] N_1 ,...,[M/b] N_n >

We are now ready to give the formal definition of single substitution prefix:

<u>Definition 3</u> (generalized substitution prefix) Let M and b be comparable elements as descibed on page 9(k=1). Then [M/b]B is B* defined as follows:

<u>Case 1)</u> B a label, variable or constant.

(a) If B=b then B*=M

(b) If B≠b then B*=B

<u>Case 2)</u> B an ordinary construction.

- (a) If B=NIL then B*=B
- (b) If B=ale.C then B*=a*l.e*.C*
- (d) If B=C+D then B*=C*+D*
- (c) If B=t.C then B*=t.B*
 (e) If B=C|D then B*=C*|D*
 - (f) If $B=X(\tilde{e})$ then $B*=X*(\tilde{e}*)$
- (g) If B=if f then C else D, then B*=if f* then C* else D*

Case 3) B a binding construct.

- B=a?x.C
 - (a) If b=x then B*=a*?x.C
 - (b) If $b \neq x$ then $b = a \cdot (M/b)[y/x]C$, where y is the variable defined as:
 - i) If b∉₹(C) or x∉FV(M) then y=x
 - ii) If be $\mathfrak{F}(C)$ and $x \in FV(M)$ then $y \neq b$ is the <u>first</u> variable in List_x

such that y∉FV(C)∪FV(M)

II: B=C\x

- (a) If $b=\infty$ then B*=B
- (b) If $b \neq \infty$ then $B *= [M/b][\beta/\infty]C\setminus \beta$, where β is the label defined as:
 - i) If $b \notin \mathcal{F}(C)$ or $\alpha \not\in L(M)$ then $\beta = \alpha$
 - ii) If b∈f(C) and ∝∈L(M) then p≠b is the first label in List,

such that BEL(C)UL(M)

III: B=fix,XpE(e)

- (a) If $b \in \{\tilde{X}, \tilde{p}\}\$ then $B *= fi \times_i \tilde{X} \tilde{p} \tilde{E}(\tilde{e} *)$
- (b) If $b\notin \{\tilde{X},\tilde{p}\}\$ then $B^*=fix_1\tilde{X}'\tilde{p}'[M/b][\tilde{X}'\tilde{p}'/\tilde{X}\tilde{p}]\tilde{E}(\tilde{e}^*)$, where \tilde{X}' and \tilde{p}' are defined as:
 - i) If b\(\mathbf{F}(\tilde{E}) \) or \(\tilde{X}, \tilde{p} \mathbf{F} \mathbf{F}(M) \) then \(\tilde{X}', \tilde{p}' = \tilde{X}, \tilde{p} \)
 - ii) If $b \in \mathcal{F}(\tilde{E})$ and $\{\tilde{X}, \tilde{p}\} \cap FV(M) \neq \emptyset$ then \tilde{X}', \tilde{p}' are chosen $b \notin \{\tilde{X}', \tilde{p}'\}, \ \tilde{X}', \tilde{p}' \neq \tilde{X}, \tilde{p} \ \text{and}$

if $\tilde{X} = \tilde{X}_n$ then for 1 sksn: X'_k is the <u>first</u> variable in List X_k

such that $\tilde{X}'_{k} \notin FV(\tilde{E}) \cup FV(\tilde{M}) \cup \{\tilde{X}'_{k-1}\}$, and

if $\tilde{p} = \tilde{p}_n$ then for 1kkn: p'_k is the \underline{first} variable in List p_k

such that $\tilde{p}'_{\nu} \notin FV(\tilde{E}) \cup FV(M) \cup \{\tilde{p}'_{\nu}, \}$

Π

The definition is extended to include substitutions in indexed recursion definitions by means of case3III: If $[M/b]fix_i\tilde{X}\tilde{p}\tilde{E}(\tilde{e}) = fix_i\tilde{X}'\tilde{p}'\tilde{E}'(\tilde{e})$ then $[M/b]fix_i\tilde{X}\tilde{p}\tilde{E} = fix_i\tilde{X}'\tilde{p}'\tilde{E}'$.

There are serveral notes to make about this definition:

- i) To avoid to much use of parentheses we assume the substitution prefix to take precedance over the constructors of CCS. So [M/b]B\\\\\\ means $([M/b]B)\$.
- ii) A lack in the defintion is seen in case2.b,f,g and case3 where the substitution prefix is used on expressions: e,f. If e is a variable, a label or another constant the situation is handled by casel. But for other value expressions there is no explicit defintion. We will assume all such expressions are written in the notation of the lambda-calculus and do

extend the definition (implicit) with the definition from there. The same will be with the convertability relation we are going to define. The theory for conversion from the lambda-calculus can therefore be lifted to these subparts of a behaviour expression and will not be considered further in the proofs to come.

- iii) The definition uses in case3.I-III.b implicitly the notion of independence of actual names of labels and variables. This notion of independence is captured in the conversion concept which is presented formally hereafter.
- iv) The use of chosing the <u>first</u> label or variable in a list fulfilling a certain condition in case3.I-III.b.ii makes the substitution unambiguous.
- v) Although the symbol B normalwise is used for behaviour expressions alone it is here used for atomic elements too as seen in casel. This is done to simplify the definition. So the substitution prefix is defined not only for behaviour expressions but also for atomic elements as labels, values and variables. E.g. $[\beta/\alpha]5=5$.

The notion of a expression context will be used in defining which behaviour expressions there are convertable. Intuitively a expression context is a behaviour expression with some holes in it where another behaviour expression can be placed. Formally:

Defintion 4

A <u>expression context</u> (just context for short) - writen C[] - is defined as follows:

- i) [] is a context. B a behaviour expression is a context
 - If C[] is a context then so are a?x.C[], ale.C[], τ .C[] and C[]\ \propto
 - If C[] and C'[] are contexts then the following is a context C[]+C'[], C[]|C[], and if f then C[] else C'[]
 - If $C_i[]$ (liin) is a context then so is $fix_i\tilde{X}\tilde{p}< C_i[],...,C_n[]>(\tilde{e})$
- ii) If C[] is a context and B a behaviour expression then C[B] denotes the result of replacing all [] in C[] (if any) by B.

Note to ii): If C[] contains no []'s then C[B]=C[]. In general free labels or variables of B may become bound. For instance if $B=\lambda|5.N|L$ and $C[]=(\lambda?x.N|L|[])\lambda$ then $C[B]=(\lambda?x.N|L|B=\lambda|5.N|L)\lambda$ and λ of B is bound.

We are now able to introduce the convertability relation among behaviour expressions. The name of the different rules are chosen from [CFC] and [Bar] in which they are used in the lambda-calculus for similar rules.

<u>Defintion 5</u> of <u>cnv</u> (convertable)

cnv is a substitutive equivalence relation:

(p) B cnv B (reflexive)

8 cnv C

 (σ) (symmetric)

C cnv B

B cnv C, C cnv D

 (τ) (transitive)

B cnv D

B cnv D, C[] a context

(subs) C[B] cnv C[D]

conversion rule:

- $(\infty,)$ a?x.B cnv a?y.[y/x]B, provided y≠x and y∉FV(B)
- (α_2) $B \propto cnv [\beta/\alpha]B \beta$, provided $\beta \neq \alpha$ and $\beta \notin L(B)$
- $fix_{i}\tilde{X}\tilde{p}\tilde{\mathbb{E}}(\tilde{e}) \text{ cnv } fix_{i}\tilde{X}'\tilde{p}'[\tilde{X}'\tilde{p}'/\tilde{X}\tilde{p}]\tilde{\mathbb{E}}(\tilde{e}), \text{ provided } \tilde{X}',\tilde{p}'*\tilde{X},\tilde{p} \text{ and } \tilde{X}',\tilde{p}'\notin FV(\tilde{\mathbb{E}})$ (\propto_{*})

cnv is extended to include recursion definitions: $fix\tilde{X}\tilde{p}\tilde{E}$ cnv $fix\tilde{X}'\tilde{p}'\tilde{E}'$ iff $fix_i\tilde{X}\tilde{p}\tilde{E}(\tilde{e})$ cnv $fix_i\tilde{X}'\tilde{p}'\tilde{E}'(\tilde{e})$ for all (possible) i and \tilde{e} .

 (α_1) - (α_3) says that change of bound variable may be done as long as no confusion results. (α_2) corresponds directly to α -conversion of the lambda-calculus and (α_1) too except that there is added an element outside the scope of the binder. For theorems of conversion generalized from the lambda-calculus they will therefore be part of proofs in much the same way. Hence the parts of the proofs considering these cases will not always be shown in all detail.

For recursion definitions on the form $fix_i\tilde{X}\tilde{E}$ where \tilde{X} is of finite dimension (as by us) Hennesey has argued that it is enough to consider definitions where \tilde{X} has dimension one, i.e. $\tilde{X}=\langle X \rangle=X$, since a definition can be expanded by replacing X_j by $fix < X_j > E_j$ where the same is done for E_j until all recursion variables are bound by a recursion binder with one variable. This would reduce the proofs for the case (α_3) to one similar to (α_1) . But we are dealig with recursion definitions possibly with parameters so we cannot avoid the problem.

We will say that two comparable vectors of behaviour expressions are convertable:

 \tilde{E} cnv \tilde{E}' iff E_i cnv E_i' (1xixn, where $\tilde{E}=\tilde{E}_n$)

Proposition 5.1

If fix XpE and fix XpE' are syntactical correct then

 $\tilde{\mathbb{E}}$ cnv $\tilde{\mathbb{E}}'$ \Rightarrow fix $\tilde{\mathbb{X}}\tilde{\mathbb{p}}\tilde{\mathbb{E}}$ cnv fix $\tilde{\mathbb{X}}\tilde{\mathbb{p}}\tilde{\mathbb{E}}'$

The rest of this section is devoted to the most important properties of the substitution prefix.

<u>Theorem 6</u> (syntatical equalities)

- (a) [b/b]B = B
- (b) be $\mathfrak{F}(B) \Rightarrow [M/b]B = B$

The following properties assumes $(f(M) \cup f(N)) \cap B(B) = \emptyset$.

- (c) [M/b][N/c]B = [[M/b]N/c][M/b]B, provided either $(c_1) \ c \neq b$, $c \notin \mathcal{F}(M)$ or $(c_2) \ b \notin \mathcal{F}(B)$
- (d) [M/b][N/b]B = [[M/b]N/b]B

Proof:

Based on induction on the <u>rank</u> of B - the "syntactical dept" of B - using the clasissification into cases as in Def.3. We omit the formal definition of rank (<u>Definition 7</u>) as it is mainly of prooftechnical interest. In (c) and (d) the result of Th.8 below is used.

Notice that if (c_2) holds then (c) by (b) becomes [M/b][N/c]B = [[M/b]N/c]B.

The following theorem characterize the free bindable elements of an expression with substitution.

Theorem 8

 $c \in \mathcal{F}([M/b]B)$ iff either $c \neq b$, $c \in \mathcal{F}(B)$ or $b \in \mathcal{F}(B)$, $c \in \mathcal{F}(M)$

Proof:

Induction on the rank of B - using (a) and (b) of Th.6.

Intuitively Th.8 says that c occurs free in [M/b]B iff either it already occured free in B before the substitution or it occurs free in M which is substituded somewhere in B. Notice that we from Th.8 get

$$c \notin \mathfrak{F}(B) \cup \mathfrak{F}(M) \Rightarrow c \notin \mathfrak{F}([M/b]B).$$

This property is used often, also in later sections.

The following theorem together with Th.6 are probably some of the most importen of the theory of substitution and conversion.

Theorem 9 (conversion equalities)

- (a) B cnv $C \Rightarrow [M/b]B$ cnv [M/b]C
- (b) [M/b][N/c]B cnv [[M/b]N/c][M/b]B, provided either
 - (b₁) c≠b, c∉₹(M) or
 - (b₂) be 3(B)
- (c) [M/b][N/b]B cnv [[M/b]N/b]B

Proof:

Essential induction on the definition of convertability from Def.5 (including the structure of C[] for the (subs)-case), and the rank of B and C.

D

Intuitively two behaviour expressions are convertable if they have the same free elements and the same structure, differing only in their bound labels and variables. The following theorem states formally the first property. That they have the same structure is immediate from Def.5.

Theorem 10

$$B cn \lor C \Rightarrow \mathfrak{F}(B) = \mathfrak{F}(C)$$

Proof:

Essential induction on the definition of convertability.

It is natural to expect a pendant to Th.9 where the condition of convertability concerns the arguments of the substitution instead and it turns out that the following theorem is true.

Theorem 11

$$M cnv N \Rightarrow [M/b]B = [N/b]B$$

Proof:

Only nontrivial if b is a recursion variable and M,N recursion definitions - proved by induction on the rank of B.

As in CCS we also define a binary relation Γ (an atomic action relation) over behaviour expressions, but it differs with resepect to recieving a value or label. We let the relation qualify over all the values which could be received by writing for instance $\frac{\lambda?X}{\lambda}$. Intuitively B $\frac{\lambda?X}{\lambda}$ B' means that B become [v/x]B' under $\lambda?v$ where v is any value x can assume, i.e. v is a value comparable to x. The other relations are $\frac{\lambda |v|}{\lambda}$ and $\frac{\tau}{\lambda}$. B $\frac{\lambda |v|}{\lambda}$ B' means that B becomes B' under $\frac{\lambda |v|}{\lambda}$, i.e. by sending v via λ . For τ ($\tau \notin \Delta$) the relation corresponds to an internal action.

We will actually only define $B \xrightarrow{\Gamma} B'$ where B is a certain kind of behaviour expression namely one who has the property that it is a program:

Defintion 1

A <u>program</u> is a behaviour expression closed with respect to free variables. i.e.

B is a program iff $FV(B) = \emptyset$

П

In CCS the atomic action relation is defined over programs. I.e. resulting behaviour exoression is also a program, such that the program property is invariant under deriviation. This is not the case with ECCS, but a similar property is obtained through the definition of strong and observational equivalence.

When looking at the axioms and inference rules below defining the atomic action relation remember note iv) to 2.Def.3 that we only write [M/b] when M and b are comparable. So for instance the inference rules $\underline{\text{Com}}_{\rightarrow}(2)$ below are only defined when v and x are comparable. Similarly the axiom $\underline{\text{Act}}_{\rightarrow}(1)$ is only defined for y and x comparable.

<u>lna</u>→ NIL has no atomic actions

<u>Act</u>→

(1)
$$\lambda ? x \cdot B \xrightarrow{\lambda ? y} [y/x] B$$

(2) $\lambda ! v \cdot B \xrightarrow{\lambda ! v} B$

- (2)
- $t.B \xrightarrow{t} B$ (3)

Sum→ _ s

$$\frac{B_0 + B_1 \cdot \Gamma \cdot B_0'}{B_0 + B_1 \cdot \Gamma \cdot B_0'}$$

R: Symmetric for B₁

<u>Com→</u> (1)L:

$$\frac{B_0 \xrightarrow{\Gamma} B'_0}{B_0 \mid B_1 \xrightarrow{\Gamma} B'_0 \mid B_1}$$

R: Symmetric for B,

 $B_0 \xrightarrow{\lambda! \vee} B'_0$, $B_1 \xrightarrow{\lambda? \times} B'_1$ (2)L:

$$B_0 \mid B_1 \xrightarrow{\mathcal{I}} B'_0 \mid [v/x]B'_1$$

R: Symmetric with B₁ sending

(3)L:

$$B_0 \xrightarrow{\lambda! X} B'_0, B_1 \xrightarrow{\lambda? X} B'_1, \propto \text{\&L}(B_1)$$

$$B_0 \mid B_1 \xrightarrow{\text{\mathbb{T}}} [\alpha/\chi](B'_0 \mid B'_1) \setminus \alpha$$

R: Symmetric with! and? exchanged

(1)

$$\frac{\text{Res} \rightarrow}{\text{(1)}} \quad \xrightarrow{\text{B}} \quad \xrightarrow{\Gamma} \quad \text{B'} \quad , \quad \text{\notin} \text{L}(\Gamma)$$

$$B \propto \Gamma B' \propto$$

 $B \xrightarrow{\lambda! \propto} B', \lambda \neq \propto$

(2)

 $B \setminus \alpha \xrightarrow{\lambda! X} [x/\alpha] B'$

 $[fi \times \tilde{X}\tilde{p}\tilde{E}/\tilde{X}][\tilde{v}/\tilde{p}]E_i \xrightarrow{\Gamma} B$

Rec→

$$fix_1\tilde{X}\tilde{p}\tilde{E}(\tilde{v}) \xrightarrow{\Gamma} B$$

<u>Con</u>→ .

$$\frac{\mathsf{B_0} \xrightarrow{\Gamma} \mathsf{B'_0}}{\text{if true then } \mathsf{B_0} \text{ else } \mathsf{B_1} \xrightarrow{\Gamma} \mathsf{B'_0}}$$

R: Symmetric for B₁

Before commenting the axioms and inferece rules it is worth to notice:

Proposition 1.1

If B $\xrightarrow{\Gamma}$ B' is a part of the inference which ensures $C \xrightarrow{\Gamma} C'$ then $FV(C) = \emptyset$ implies $FV(B) = \emptyset$.

Now for the notes on the action relation:

i) By prop.1.1 it is clear that if Act→(1) is the basis of an inference which ensures an action of a program then $FV(\lambda ?x.B)=\emptyset$ and an arbitrary

chosen y will therefore be just as good as x. Furthermore it will not interfer with variables in another part of the program since also by prop.1.1 any other part of the program which can form an action cannot have free variables. For instance if $D=C[\lambda?x.B]$ is a program and $D\xrightarrow{\lambda?x}\to C[B]$ then x cannot be free in C. Therefore it is ensured in $Com\to (2)$ that v is substituted in the "right" place. $Com\to (1),(2)$ corresponds to those of CCS.

- ii) The reason for letting $(\lambda?x.B,[y/x]B)$ be in the the relation $\frac{\lambda?y}{\lambda}$ is that we wish convertable programs to be behaviourly equivalent. This could have been obtained through a modification of the definition of strong equivalence, but we have found it more convenient here.
- iii) Two inference rules are added compared to CCS: $\underline{\text{Com}}\rightarrow (3)$ and $\underline{\text{Res}}\rightarrow (2)$. they make it possible to extend the scope of a label. $\underline{\text{Res}}\rightarrow (2)$ cancels the the restriction and for the resons mentioned in i) it does not matter which variable is chosen (as long as it is a label variable of course). In $\underline{\text{Com}}\rightarrow (3)$ the restriction is placed again and the label is thereby known to ther recipient. The actual name of the label is chosen such that it does not interfer wither other names in the new scope by the condition $\alpha \not\in L(B_i)$. Notice that the original name can be chosen if it does not appear in the environment outside the old and inside the new scope.
- iv) The definition depends heavily on the substitution prefix and it's properties as seen in $Act\rightarrow(1)$, $Com\rightarrow(2)$,(3) and $Res\rightarrow(2)$. Most of all it depends on the property that a label or variable which "passes" through a bound occurence by substitution changes the names of the bound occurence and "passes" on, thereby avoiding any conflict.
- v) The inference rule for the relabelling operation in CCS is missing, but as argued in the section concerning the expressiveness of ECCS the substitution prefix takes over it's role.

The crux of the definition of the atomic action relation is probally that a variable in some sence can pass via a label. But it has some direct consequences which later will prove useful, e.g.:

Proposition 1.2

Assume $B \xrightarrow{\Gamma} B'$ (B a program). Then

- (a) ∝∉L(B) implies ∝∉L(Γ)∪L(B')
- (b) $\lambda \in L(\Gamma)$ implies $\lambda \in L(B)$
- (c) $FV(B') \subseteq FV(\Gamma)$

In order to formulate the constraint of guardedly well-definedness of recursion defintions in a behaviour expression it is necessary to introduce some concepts.

Let B and F be two behaviour expressions. Then we define

Foccurs directly unquarded in B as follows:

F occurs directly unguarded in F. If F occurs directly unguarded in C then it does also in B=C\ α . If F occurs directly unguarded in C or D then it does also in B=C+D, B=C|D and B=if f then C else D.

Proposition 1.3

If F occurs direcly unguarded in in B and B is a program then F is a program also.

We say that $\underline{fix_i\tilde{X}\tilde{p}\tilde{E}}$ is directly in $fix_j\tilde{X}'\tilde{p}'\tilde{E}'$ iff for some \tilde{e} either $fix_i\tilde{X}\tilde{p}\tilde{E}(\tilde{e})$ does itself occur directly unguarded in E'_j or $X_i(\tilde{e})$ does occur directly unguarded in E'_i

Now for the constraint. To shorten notation denote $fix_{i_j}\tilde{X}(j)\tilde{p}(j)\tilde{E}(j)$ by F(j). Let F(1),...,F(n) be the indexed recursion defintions in B. We then define

B to be <u>guardely well-defined</u> iff there is no infinite sequence

$$F(j_1), F(j_2), ..., F(j_k)$$

such that for each k, $F(j_{k+1})$ is directly in $F(j_k)$.

The constraint is then that behaviour expressions shall be guardedly well-defined. By this it should be clear that if two behaviour expressions are in an atomic action relation then it has a finite proof.

The following theorem establish an important connection between substitution, conversion and the action relations:

Theorem 2

- (a) $C \xrightarrow{\Gamma} C' \Rightarrow [\delta/\delta]C \xrightarrow{[\delta/\delta]\Gamma} C'' \text{ cny } [\delta/\delta]C'$
- (b) The following diagram commutes in the sense that if $B_0 cnvB_1 \xrightarrow{\Gamma} B'_1$ then B'_0 exists such that $B_0 \xrightarrow{\Gamma} B'_0 cnvB'_1$ and vice versa:

$$B_0$$
 cnv B_1 Γ Γ Γ Γ Γ

(c) $[\delta/\delta]C \xrightarrow{\Gamma} D$ implies that there exists Γ' and D' such that

$$C \xrightarrow{\Gamma'} D'$$
 and $[\delta/\delta]D'$ cnv D, $[\delta/\delta]\Gamma'=\Gamma$.

Notice that (a) and (c) in a way are each others reverses.

Proof:

(a) and (b) are proved by a rather complicated induction proof with hypothesis:

If C[] is a context and B₀ cnv B₁, then
1)
$$C[B_i] \xrightarrow{\Gamma} B'_i \Rightarrow C[B_{ie1}] \xrightarrow{\Gamma} B'_{ie1}$$
 cnv B'_i
2) $C[B_i] \xrightarrow{\Gamma} B'_i \Rightarrow [\delta/\delta]C[B_i] \xrightarrow{[\delta/\delta]\Gamma} B''_i$ cnv $[\delta/\delta]B'_i$

and where the induction involves recursion dept of $C[B_i]$, structure of C[],

and the length of the inferences which ensures " Γ " and "cnv". (a) and (b) then follows as a special case: C[] = []. (c) is proved by induction on the length of the inferences which ensures " Γ ", and uses (a) and (b)

Pushdown Store

Now where we have presented atomic action relation we will study an example of a pushdown store presented in [Mil1].

Let V be a value set, for instance integers, with $\bar{a}, \bar{b} \in V$ and variables \bar{x}, \bar{y} . Then let $t \in V^*$ - the set of sequences over V. \perp is the empty sequence. As prefixing operation over V* is used :. The characteristics of

first, rest: $V^* \rightarrow V^*$ is first(\bar{a} :t)= \bar{a} , rest(\bar{a} :t)=t, first($_{\perp}$)=rest($_{\perp}$)= $_{\perp}$.

We expect the pushdown store to be acessed through i,o where values are pushed in via i and popped out via o. Furthermore an empty pushdown store deliver a \$&V when popped to indicate emptiness.

If tx is a variable over V* a suggestion is:

PD=fixX $\langle t_y \rangle \langle i?\overline{x}.X(\overline{x}:t_y)$ +if $t_y \neq \bot$ then o!first $(t_y).X(rest(t_y))$ else o! $\$.X(\bot)$

Clearly PD(t) shall satisfy:

- $PD(\bot) \approx i?\overline{\nabla}. PD(\overline{\nabla}:\bot) + o!\$. PD(\bot)$ (1)
- $PD(\bar{a}:t) \approx i?\bar{y}. PD(\bar{y}:\bar{a}:t) + o!\bar{a}. PD(t)$ (2)

We want a simple implementation PD of PD which satisfy (1) and (2).

The pushdown store will consist of some elements E and a bottom B. We extend X to range over $V \cup \{\$\}$. Then these can be specified by:

- = $fixX\langle(E(\$,\beta_0)|\beta_0?.X)\backslash\beta_0\rangle$, where В
- = fixX $\langle \overline{x}, \chi \rangle \langle i?\overline{y}.(X(\overline{y}, \alpha)|\alpha?.X(\overline{x}, \chi)) \rangle \langle +o|\overline{x}, \chi|.N|L\rangle$

(assuming i, o, λ, \propto and β_0 are mutualy different)

The idea is that when the topelement emits it's value by a pop operation it vanish after signaling to the element just below. The element receiving the signal then knows that it is the topelement. If the topelement instead receives a value by a push operation it creates a new topelement with the value and a link to the old topelement for signaling.

At first we look at an example of how 5 and 8 is pushed via i, 8 is popped via o, and how the configuration develops by that. A pop operation on an empty pushdown store is studied too. For the ~ equalities we refer to the next section.

 $\begin{array}{l} \mathbf{B} \xrightarrow{o|\$} (\beta_0!.\mathsf{NIL}|\beta_0?.\ \mathbf{B}) \backslash \beta_0 \xrightarrow{\tau} (\mathsf{NIL}|\mathbf{B}) \backslash \beta_0 \sim \mathbf{B} \\ |i?'5'| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \quad \text{for a $\beta_1' \neq \beta_0, i, o} \\ |i?'8'| \\ (((E(8,\beta_2')|\beta_2'?.\ E(5,\beta_1')) \backslash \beta_2'|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \quad \text{for a $\beta_2' \neq \beta_1', i, o} \\ |o|8| \\ (((\beta_2'!.\mathsf{NIL}|\beta_2'?.\ E(5,\beta_1')) \backslash \beta_2'|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ (((\mathsf{NIL}|E(5,\beta_1')) \backslash \beta_2'|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(\$,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'?.\ E(5,\beta_0)) \backslash \beta_1'|\beta_0?.\ \mathbf{B}) \backslash \beta_0, \\ |\tau| \\ ((E(5,\beta_1')|\beta_1') \backslash \beta_1'/\beta_1') \backslash \beta_1'/\beta_1') \\ |\tau| \\ ((E(5,\beta_1')|\beta_1') \backslash \beta_1'/\beta_1') \backslash \beta_1'/\beta_1') \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'/\beta_1') \backslash \beta_1'/\beta_1') \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'/\beta_1') \backslash \beta_1'/\beta_1') \backslash \beta_1'/\beta_1') \\ |\tau| \\ ((E(5,\beta_1')|\beta_1'/\beta_1') \backslash \beta_1'/\beta_1')$

Now let us define $t_n = (\bar{a}_n : ... : \bar{a}_i), \bar{a}_i \in V$ thereby $t_n \in V^*$ $(t_0 \text{ is } \bot)$ and define

PD(t_n)= (E(\bar{a}_n, β_n)| β_n ?. E($\bar{a}_{n-1}, \beta_{n-1}$)|...| β_2 ?. E(\bar{a}_1, β_1)| β_1 ?. E(\$, β_0)| β_0 ?. B)\ β_n ...\ β_0 , where $\beta_i \neq \beta_i$ for $i \neq j$ and $\beta_i \notin \{i, o, \lambda\}$. and define:

$$PD(t_0) = PD(\bot) = B$$

To write things short, denote (for n20):

 $\begin{array}{ll} \beta_{n+1}?.E(\bar{a}_n,\beta_n)|\beta_n?.~E(\bar{a}_{n-1},\beta_{n-1})|...|\beta_2?.~E(\bar{a}_1,\beta_1)|\beta_1?.~E(\$,\beta_0),\\ \text{where }\beta_i\neq\beta_i~(i\neq j)~\text{and}~\beta_i\notin\{i,o,\lambda\}, \end{array}$

by $EE(\beta_{n+1};t_n)$. $EE(\beta_1,t_0)$ will thereby denote β_1 ?. $E(\$,\beta_0)$

Then $PD(\bar{a}_n, t_{n-1})$ can be written:

$$(E(\bar{a}_n,\beta_n)|EE(t_n,\beta_{n-1})|\beta_0?. B)\setminus\beta_n...\setminus\beta_0$$

We will prove that PD(t) satisfy (1) and (2) in the section about observational equivalence.

The notion of strong equivalence between programs will now be presented along the lines of the definition in the original version of CCS, i.e. in terms of a decreasing sequence of equivalence relations. In later versions another definition called strong bisimulation is used instead. One of the arguments is that it admits an elegant proof technique. In spite of this we have found it difficult, to use strong bisimulation in this framework. The reason is that the proofs using strong bisimulation rely on the possibility to regard the result of a deriviation as beeing on the same form as the premise of the deriviation. By $\underline{\text{Com}}$ and $\underline{\text{Res}}$ and $\underline{\text{Res}}$ this is not always the case here.

Definition 1 (Strong equivalence between programs)

Notes:

- i) Each v must, in accordance with earlier notes, be comparable to x in the case $\Gamma = \lambda ? x$.
- ii) When B_0 and B_1 are programs it is ensured that only programs are considered in the future actions, since if B_j is a program (FV(B_j)= \emptyset) and Γ = λ ?x then FV(B_j) \subseteq {x} by 3.Prop.1.2.c. By the substitution [v/x] a possible occurrence of x in B_j vanish (becomes v).

The second note gives occasion for:

Proposition 1.1

In Def.1 above B'_{i} (j=0,1) is a program if $\Gamma=\lambda lv$ and otherwise $[v/x]B'_{i}$ is a program for all v comparable to x.

If one interpret [v/x]B' or B' (according to Γ) as the result of a deriviation it follows from Prop.1.1 that the program property is invariant under deriviation. The atomic action relation together with Def.1 thereby gives mening to the programs.

Below we state a collection of simple but important properties of strong equivalence.

Theorem 2

- (a) ~ is a equivalence relation
- (b) $B_0 \sim_{k+1} B_1$ implies $B_0 \sim_k B_1$
- (c) $B_0 \sim B_1$ implies $B_0 \sim_k B_1$ for all k
- (d) B_0 cnv B_1 implies $B_0 \sim B_1$

Notes:

- If we take strongly equivalence among programs as an expression for equal behaviour then (d) states that the requirement of behaviourly independence of actual names of bound elements is met.
- ii) (d) has another importen consequence, namely that all the results of the theory of conversion and substitution (section 2) can be lifted to strong equivalence.

Proof:

(a), (b) and (c) as in [Mill]. (d) by induction on k in the defintion of ~ using 3.Th.2.b together with the convertability properties.

0

The following theorem is perhaps the hardest to accept. It says that ~ is a congrunce with respect to substitution of labels, i.e. two strongly equivalent programs will remain strongly equivalent if one changes the name of a free occuring label. As long as the new name is chosen different from other free occuring labels this should be clear. But it is much more difficult to convince oneself if the new name occurs in one of the programs, because it then no longer is a relabelling in the sence of the original CCS. Later versions of CCS, e.g. in [Mil3] has left out the constraint (function bijective) imposed on the first version of relabelling.

Theorem 3

$$B_0 \sim B_1$$
 implies $[\delta/\delta]B_0 \sim [\delta/\delta]B_1$

Proof:

By induction on k in the defintion of \sim using 3.Th.2. This and the following proofs depends strongly on Th.2.d and the convertability properties.

The next theorem states that ~ is a congrunce relation.

Theorem 4

$$[v/x]B_0 \sim [v/x]B_1$$
 for all v implies $\lambda ? x.B_0 \sim \lambda ? x.B_1$

Proof:

Same techniques as in [Mill] - with some care in the order of proofs of individual properties.

If the ECCS shall be useful, the algebrac laws of CCS must be preserved. We therefore state and prove the following theorem which collect the algebraic properties found in the original CCS

In the theorem g will stand for a guard, i.e. τ , ale or a?x. It will turn out that the summation operator + is commutative and assosiative. In the light of this it makes sense to define a <u>sum of guards</u> as

$$\sum \{B_i ; i \in I\}$$

where I is a finite index set. Each B_i is called a <u>summand</u> (s. for short).

Theorem 5 (for programs)

$$\begin{array}{c} \underline{Sum^{\sim}} & (1) \ B_0 + B_1 \sim B_1 + B_0 \\ (2) \ B + NIL \sim NIL \\ \end{array} \\ \begin{array}{c} (2) \ B_0 + (B_1 + B_2) \sim (B_0 + B_1) + B_2 \\ (2) \ B + NIL \sim NIL \\ \end{array} \\ \begin{array}{c} (3) \ B + B \sim B \\ \end{array} \\ \\ \underline{Com^{\sim}} & (1) \ B_0 | B_1 \sim B_1 | B_0 \\ (3) \ B | NIL \sim B \\ B_0 | B_1 \sim B_0 | B_1 & (4) \ If \ B_0, \ B_1 \ are \ sums \ of \ guards \ then \ B_0 | B_1 \sim B_0 | B_1 \sim B_0 | B_1 \rangle \\ \\ \underline{S\{g.(B_0 | B_1) : g.B_1 \ a \ summand \ of \ B_0\} + \\ \\ \underline{S\{g.(B_0 | B_1) : g.B_1 \ a \ summand \ of \ B_1\} + \\ \\ \underline{S\{t.(B_0 | [t \vee / \times] B_1) : j \lambda! v. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{t.([v / \times] B_0 | B_1) : j \lambda? x. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{g.(B_0 | B_1) : j \lambda? x. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{g.(B_0 | B_1) : j \lambda? x. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{g.(B_0 | B_1) : j \lambda? x. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{g.(B_0 | B_1) : j \lambda? x. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{g.(B_0 | B_1) : j \lambda? x. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{g.(B_0 | B_1) : j \lambda? x. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{g.(B_0 | B_1) : j \lambda? x. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{g.(B_0 | B_1) : j \lambda? x. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{g.(B_0 | B_1) : j \lambda? x. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{g.(B_0 | B_1) : j \lambda? x. B_0 \ a \ s. \ of \ B_0, \ \lambda! v. B_1 \ a \ s. \ of \ B_1\} + \\ \\ \underline{S\{g.(B_0 | B_1, v. B$$

Remarks:

i) Remember that we are assuming the behaviour expressions to be programs when writing equations with \sim . As a consequence the guards in $\underline{\mathsf{Com}} \rightarrow (4)$ and $\underline{\mathsf{Res}} \rightarrow (1)$ are on the form τ , $\lambda \mathsf{IV}$ or $\lambda \mathsf{Px}$. Similar in $\underline{\mathsf{Rec}} \rightarrow \mathsf{the}$ actual parameters must be labels or values.

ii) Res \rightarrow (1) gives no equivalences for the guard g= λ ! \propto , $\lambda \neq \propto$.

Proof:

Same techniques as in [Mill]. Order of individual proofs important.

We now want to extend the definition of \sim to arbitrary comparable behaviour expressions. The reason is that we want to lift the previous results to arbitrary subexpressions of a program in such a way that we for instance can replace B[NIL by B anywhere in a program.

We say that two behaviour expressions <u>E and E' are comparable</u> iff for each recursion variable X in FV(E)∩FV(E'), X occur with comparable parameters in in E and E', i.e. if X(ẽ) occurs in E and X(ẽ') occurs in E' then ẽ and ẽ' must be comparable.

If this constraint is not met for E and E' on can in advance see that it makes no sence to replace E by E' in fix $X\tilde{p}E(\tilde{v})$.

Definition 6

Let \tilde{X} be the recursion variables of $FV(E_0) \cup FV(E_1)$ and \tilde{X} the remaining variables. Then

(*) $E_0 \sim E_1$ iff for all \tilde{M}, \tilde{V} : $[\tilde{M}/\tilde{X}][\tilde{V}/\tilde{X}]E_0 \sim [\tilde{M}/\tilde{X}][\tilde{V}/\tilde{X}]E_1$

where $FV(\tilde{M})=\emptyset$ and $M_1=fix_{j_1}\tilde{X}(i)\tilde{p}(i)\tilde{E}(i)$ an indexed recursion definition such that $\tilde{p}(i)$ is comparable with \tilde{e} for a occurence $X_{j_1}(\tilde{e})$ in E_0 or E_1 .

By the way $\tilde{\mathbb{M}}$ and $\tilde{\mathbb{V}}$ are chosen above we always obtain programs in (*). Up till now we have most used B for programs though it could denote a behaviour expression. The reason we have used E here is to emphasize that the defintion now includes expressions as well. We state the generalization:

Theorem 7

- (a) Th.2, Th.3 and Th.4 holds for comparable behaviour expressions when λ ?x, λ !v is exchanged with a?x, ale.
- (b) Similar Th.5 holds with the guards λ ?x, λ !v exchanged with a?x, ale and actual parameters (in Rec~) may be \tilde{e} . Com~(4) shall be adjusted with the following condition: in the first (second) term on the right hand side of ~ no free variable in B₁ (B₀) is bound by g. The other conditions of Th.5 reamins the same except g= α |v of Res~(1) which becomes α !e, and true, false of Con~ which may be f.

Proof

Essential as in [Mill], but with some additional difficulties. E.g. $E_0 \setminus \infty \sim E_1 \setminus \infty$ may look like $E'_0 \setminus \beta \sim E'_1 \setminus \delta$ upon substitution of ∞ for a free variable in E_0 and E_1

By $\underline{\text{Res}_{\sim}}$ it makes sence to write B\A if A is a finite set of labels, so we can state the expansion theorem as:

Theorem 8 (The Expansion Theorem)

Let A be a finite set of labels and B_i a sum of guards, such that if $a! \propto .B'_i$ is a summand of B_i then $\propto \notin A$. Then for $B=(B_1|...|B_n)\setminus A$ we have

$$\begin{split} B &\sim \sum \{g. \ (B_i|_{--} |B_i'|_{--} |B_n) \setminus A \ ; g.B_i' \ a \ s. \ of \ B_i, \ L(g) \cap A = \emptyset \} \\ &+ \sum \{\tau. (B_i|_{--} |[e/\times]B_i'|_{--} |B_i'|_{--} |B_n) \setminus A \ ; a?x.B_i' \ a \ s. \ of \ B_i, \\ &\qquad \qquad \qquad \qquad \qquad ale.B_i' \ a \ s. \ of \ B_i, \ i \neq j \} \end{split}$$

provided in the first term on the right hand side of \sim no free variable in B_k , $k \neq i$ is bound by g.

Remarks:

- i) The assumption that if $a|\propto B_i$ is a summand of B_i then $\propto \not\in A$ is there to avoid terms on the right hand side of the form: $\sum \{(a|\propto, (B_i|_{-} |B_i'_i|_{-} |B_n)\setminus A-\{\propto\})\setminus \propto ;g.B_i$ as. of B_i such that $a\not\in A, \alpha\in A\}$.
- ii) Notice that if a is not a label and $v \notin A$ then $L(a|v) \cap A = L(a?x) \cap A = \emptyset$.

Proof:

Essential as in [Mill].

In the next section we introduce a wider equivalence relation over behaviour expressions called observational equivalence (\approx). We will end this section with a theorem which is as important for the connexion between \sim and \approx as Th.2 of section 3 was for the connexion between cnv and \sim .

<u>Theorem 9</u>

Strong equivalence "satisfies it's definition". I.e.

$$\begin{array}{c} B_0 \sim B_1 \text{ iff for all } \Gamma \text{ (i=0,1):} \\ \text{i) } B_i \xrightarrow{\Gamma} B'_i \Rightarrow \exists \ B'_{\text{iei}} \text{:} \ B_{\text{iei}} \xrightarrow{\Gamma} B'_{\text{iei}} \text{ and} \\ & B'_i \sim B'_{\text{iei}} & \text{,if } \Gamma = \lambda ! \vee \\ \forall \forall \text{: } [\forall / \times] B'_i \sim [\forall / \times] B'_{\text{iei}} & \text{,if } \Gamma = \lambda ? \times \\ \end{array}$$

Proof:

Essential as in [Mill] and does also depend critically on the assumption of guardedly well-definedness, but there is a further difficulty which derives from our notion of convertibility as inheridited in e.g. $Com\rightarrow(3)$. The problem is overcomed by conserning equivalence classes under cnv.

The idea of introducing observational equivalence is to define a relation for "equal behaviours" where two programs cannot be destinguished by their ability to perform τ -actions. The τ -actions thereby gets internal and unobservable. The observable actions are then $\lambda | v$ and $\lambda ? x$.

A serie of actions can according to definition 1 of section 4 be written as:

$$B_0 \xrightarrow{\Gamma_1 \to *B_1} \xrightarrow{\Gamma_2 \to *B_2} \xrightarrow{\dots} \xrightarrow{\Gamma_n \to *B_n}$$

and for short

$$B_0 \xrightarrow{\Gamma_1 \cdot \Gamma_2 \cdot \dots \cdot \Gamma_n} B_n$$

where each $*B_i$ is B_i if $\Gamma_i = \lambda | v$ and $[v_i/x]B_i$ for som v_i if $\Gamma_i = \lambda ? x$.

We abbreviate

$$B \xrightarrow{t}^{k} B'$$
 ($k \ge 0$) by $B \xrightarrow{\epsilon} B'$

$$B \xrightarrow{\tau^{j}.\Gamma.\tau^{k}} B'$$
 (j,k\(\text{k}\)0) by $B = \Gamma \rightarrow B'$

and generaly

$$B \xrightarrow{k_0} \xrightarrow{k_1} \xrightarrow{k_1} B' \quad \text{by}$$

$$B = \Gamma_1 - \Gamma_n \Rightarrow B'$$
 or if $S = \Gamma_1 - \Gamma_n \Rightarrow B'$.

Notice that the intermediate programs are on the form *B; according to Γ,

<u>Definition 1</u> Observatinal Equivalence (≈) for programs.

$$B_0 \approx B_1$$
 iff for all k20: $B_0 \approx_k B_1$, where $B_0 \approx_k B_1$ is defined by $B_0 \approx_0 B_1$ is always true $B_0 \approx_{k+1} B_1$ iff for all s

$$B_0 \approx_{k+1} B_1$$
 iff for all s
i) $B_1 \stackrel{S}{\longrightarrow} B_1 \Rightarrow B_{1e1} \stackrel{S}{\longrightarrow} B_{1e1}$ and $B_1 \approx_k B_{1e1}$

The v_i 's in the intermidiate $*B_{i_i}$'s and $*B_{iei_i}$'s shall of course be the same.

We extend the definition of \approx to include arbitrary comparable behavioiur expressions as we did for ~.

One of the main differences between ~ and ≈ is captured in the following proposition:

Proposition 1.1

Proof:

As in [Mill].

Another difference is that ≈ is not a congrunce relation.

Proposition 1.2

≈ is a equivalence relation.

Proof:

Easely seen from the defintion of ≈.

The strong equivalence relation is contained in observational equivalence:

Theorem 2

$$B_0 \sim B_1 \Rightarrow B_0 \approx B_1$$
.

Proof:

Similar to the one in [Mill].

These results are enough to prove the desired property of the pushdown store. We have not considered the properties of observatinal equivalence any further.

We will now take up the pushdown store example from the section about deriviation. We revive the defintions.

$$\mathbf{B} = \text{fixX} \langle (\mathbf{E}(\$, \beta_0) | \beta_0? X) \setminus \beta_0 \rangle$$
, where

$$E = fix X\langle \overline{x}, x\rangle\langle i?\overline{y}.(X(\overline{y}, \alpha)|\alpha?.X(\overline{x}, x))\backslash\alpha+o!\overline{x}. x!.NIL\rangle$$

 (i,o,λ,α) and β_0 are mutually different)

We defined $t_n = (\bar{a}_n : ... : \bar{a}_i), \bar{a}_i \in V$ whereby $t_n \in V^*$ $(t_o \text{ is } \bot)$ and defined

$$\begin{split} \mathbf{PD}(\mathbf{t}_n) &= (\mathbf{E}(\bar{\mathbf{a}}_n, \boldsymbol{\beta}_n) \big| \boldsymbol{\beta}_n?. \ \mathbf{E}(\bar{\mathbf{a}}_{n-1}, \boldsymbol{\beta}_{n-1}) \big| ... \big| \boldsymbol{\beta}_2?. \ \mathbf{E}(\bar{\mathbf{a}}_i, \boldsymbol{\beta}_1) \big| \boldsymbol{\beta}_0?. \ \mathbf{E}(\$, \boldsymbol{\beta}_1) \big| \boldsymbol{\beta}_0?. \ \mathbf{B}) \backslash \boldsymbol{\beta}_n... \backslash \boldsymbol{\beta}_0, \\ & \text{where } \boldsymbol{\beta}_i \neq \boldsymbol{\beta}_i \text{ for } i \neq j \text{ and } \boldsymbol{\beta}_i \notin \{l, o, \lambda\}. \\ & \text{and} \end{split}$$

$$PD(t_0) = PD(\bot) = B$$

Furthermore we denoted (for n≥0):

$$\beta_{n+1}?.E(\bar{a}_n,\beta_n)|_{\beta_n}?.E(\bar{a}_{n-1},\beta_{n-1})|_{-}|_{\beta_2}?.E(\bar{a}_1,\beta_1)|_{\beta_1}?.E(\$,\beta_0),\\ \text{where }\beta_i\neq\beta_i\ (i\neq j)\ \text{and}\ \beta_i\notin\{i,o,\lambda\},$$

by
$$EE(\beta_{n+1},t_n)$$
, and $EE(\beta_1,t_0)$ denoted β_1 ?. $E(\$,\beta_0)$, wherefore $PD(\bar{a}_n;t_{n-1})$ could be written:

$$(E(\bar{a}_n,\beta_n)|EE(t_n,\beta_{n-1})|\beta_0?.B)\setminus\beta_n..\setminus\beta_0$$

The property we wish to prove is:

(2)
$$PD(\bar{a};t) \approx i? \nabla . PD(\bar{y};\bar{a};t) + o! \bar{a}. PD(t)$$

In doing this we will use a lemma which is stated and proved at the end of this example.

We look at **PD**(t) in the case $t = t_n$, $n \ge 2$. The cases n=1 and n=0 follows similar.

$$PD(\bar{a}_n;t_{n-1}) = (E(\bar{a}_n,\beta_n)|EE(\beta_n,t_{n-1})|\beta_0?.B) \setminus \beta_n \setminus \beta_0$$

~ by Rec~, Cong~

$$((i?\overline{y}.((E(\overline{y},\beta_{n+1}')|\beta_{n+1}'?.\ E(\overline{a}_n,\beta_n))\setminus\beta_{n+1}'\ +\ o!\overline{a}_n.\beta_n!.NIL)|\\ EE(\beta_n,,t_{n-1})|\beta_0?.\ B)\setminus\beta_{n+1}\setminus\beta_0$$

~ by the Expansion theorem

$$\ell ? \forall . ((E(\forall,\beta_{n+1}')|(\beta_{n+1}'?.\ E(\bar{a}_n,\beta_n)) \setminus \beta_{n+1}'|\ EE(\beta_n,t_{n+1})|\beta_0?.\ B) \setminus \beta_n... \setminus \beta_0 + \\ o|\bar{a}_{n+}(\beta_n|.N|L|\ EE(\beta_n,t_{n+1})|\beta_0?.\ B) \setminus \beta_n... \setminus \beta_0 \\$$

~ by the lemma, the Expansion theorem and Cong~

$$\begin{array}{c} i? \overline{y}. (E(\overline{y},\beta_{n+1})|\beta_{n+1}?.\ E(\overline{a}_{n},\beta_{n})|\ EE(\beta_{n},t_{n-1})|\beta_{0}?.\ B) \backslash \beta_{n+1}... \backslash \beta_{0} \ + \\ o|\overline{a}_{n}.\tau. (NIL|\ E(\overline{a}_{n-1},\beta_{n-1})|\ EE(\beta_{n-1},t_{n-2})|\beta_{0}?.\ B) \backslash \beta_{n}... \backslash \beta_{0} \end{array}$$

~ by Res~, Com~

We have now shown that

$$PD(\pm) \sim i?\overline{y}.\tau.PD(\overline{y}:\pm) + o!\$.\tau.PD(\pm)$$

 $PD(\overline{a}:t) \sim i?\overline{y}.\tau.PD(\overline{y}:\overline{a}:t) + o!\overline{a}.\tau.PD(t)$

So if we can prove a) and b) below we are done, since $\sim \Rightarrow \approx$ and \approx is transitive.

a)
$$\ell$$
? ∇ , τ , $PD(\nabla :_{\perp}) + o!$ \$, τ , $PD(\bot) \approx \ell$? ∇ , $PD(\nabla :_{\perp}) + o!$ \$, $PD(\bot)$

b)
$$i?\overline{y}.\tau.PD(\overline{y}:\overline{a}:t) + o!\overline{a}.\tau.PD(t) \approx i?\overline{y}.PD(\overline{y}:\overline{a}:t) + o!\overline{a}.PD(t)$$

We will do this by induction. So assume a) and b) holds for k. We shall then prove that it holds for k+1.

Proof of b):

Let LHS (RHS) denote the left (right) hand side of b).

1) LHS $\stackrel{S}{=}$ L. We split out in three cases:

<u>s = s</u>: Then L is LHS. But then also RHS $\stackrel{\&}{=}$ RHS \approx_k LHS by induction. So LHS \approx_{k+1} RHS.

 $\underline{s} = \underline{i?'\bar{b}'.s'}$: and LHS $\underline{i?'\bar{b}} \to \tau.PD(\bar{b}:\bar{a}:t) \xrightarrow{\underline{S'}} L$. But $\tau.PD(\bar{b}:\bar{a}:t) \xrightarrow{\underline{S'}} L$ by either $\underline{s'}=\underline{s}$ and \underline{L} is $\tau.PD(\bar{b}:\bar{a}:t)$ or $\tau.PD(\bar{b}:\bar{a}:t) \xrightarrow{\underline{T}} PD(\bar{b}:\bar{a}:t) \xrightarrow{\underline{S'}} L$. In both cases we have RHS $\underline{\underline{i?'\bar{b}'}} \to \tau.PD(\bar{b}:\bar{a}:t)$. In case $\underline{s'}=\underline{s}$ we have $\underline{s}=\underline{i?'\bar{b}'}$ and then RHS $\underline{\underline{s'}}\to PD(\bar{b}:\bar{a}:t) \approx_k \tau.PD(\bar{b}:\bar{a}:t)$ by Prop.1.1. In the latter case we have RHS $\underline{\underline{i?'\bar{b'}}}\to PD(\bar{b}:\bar{a}:t) \xrightarrow{\underline{S'}} L$ or equivalently RHS $\underline{\underline{s}}\to L$.

2) RHS $\stackrel{S}{\Longrightarrow}$ R. Cases:

 $\underline{s} = \underline{s}$: Then R is RHS. But LHS $\underline{-s} \to LHS \approx_k LHS$ by induction.

 $\underline{s} = \underline{i?'\bar{b}'.s'}$: RHS $\underline{i?'\bar{b}'}$ → **PD**($\bar{b}:\bar{a}:t$) $\xrightarrow{\underline{s'}}$ R. Clearly LHS $\xrightarrow{\underline{i?'\bar{b}'}}$ → **PD**($\bar{b}:\bar{a}:t$) $\xrightarrow{\underline{s'}}$ R. Learly LHS $\xrightarrow{\underline{s'}}$ → R.

 $\underline{s} = o | \underline{a}, \underline{s}' : RHS \xrightarrow{o | \underline{a}} PD(t) \xrightarrow{\underline{s}'} R$. Then LHS $\xrightarrow{o | \underline{a}} PD(t) \xrightarrow{\underline{s}'} R$ so LHS $\xrightarrow{\underline{s}'} R$.

a) is proved in a similar way as b)

Lemma:

Given $(B\setminus x'\mid C)\setminus A$ then there exist a x such that

 $(B \setminus x' \mid C) \setminus A \sim ([x/x']B \mid C) \setminus A \cup \{x\}$

Proof

If $\alpha' \notin L(C)$ then Res \sim (5) and Res \sim (2) gives the result with $\alpha = \alpha'$ using 2.Th.6.a.

In general take a \propto such that $\propto' \neq \propto$ and $\propto \not\in L(B) \cup L(C)$. Then we have by (\propto_3) : $[\propto/\propto']B \setminus \propto$ cnv $B \setminus \propto'$ so from 4.Th.2.d and the congruence of \sim we then conclude $(B \setminus \propto'|C) \setminus A \sim ([\propto/\propto']B \setminus \propto|C) \setminus A$. Since $\propto \not\in L(C)$ the rest then follows as in the special case.

The aim of this section is top get an idea of the expressive power of ECCS. We have already seen how some problems have a natural solution using the capabilities special to ECCS.

At first it is compared to the original CCS. Thereafter two more examples are studied: translation and Erastosthenes sieve.

When compared to CCS there is as already mentioned earlier a obvious lack of one oprator; the postfixed relabelling operator [S] where S is a bijective function over labels. This operator is a part of the syntax of CCS. Though the idea is to replace each label λ by S(λ) ([Mil1]p.23) no replacement is actually done. The relabelling becomes "active" through a special inference rule for the relabelling operator. With our generalized substitution prefix (which is not a part of the syntax) we are able to change labels directly. We have therefore omited the operator and the corresponding inference rule.

As an example of how the same effect can be obtained we look at the chaning combinator. In our framework one would write it as

$$([\delta/\beta]B_0|[\delta/\infty]B_1)\setminus\delta$$
, $\delta \notin L(B_i)$

To emphasize that our substitution prefix is not a part of the syntax it should be mentioned that $[\delta/\beta]B_0$ denotes a new behaviour expression B'_0 where β is replaced by δ in accordence to defintion 3 of section 2.

<u>Translation</u>

In chapter 9 of [Mill] a phrase-by-phrase translation of a language P into CCS is presented. In the following we will show how we by our extension of CCS can overcome the problem of translating a procedure such that it admits serveral concurrent activations. Furthermore it is shown how another parameter mechanism as call by reference can be translated. Though we assume the reader to be familiar with the notation and concepts introduced in that chapter, we revive some of the definitions relevant for our examples.

The values of variables from the programming language is kept in registers:

$$LOC = \propto ?x.REG(x)$$
, with

REG =
$$fixX\langle y\rangle\langle x^2, X(x) + \delta |y, X(y)\rangle$$

LOC7 is the register special devoted to Z by defining:

$$LOC_Z = [\alpha_Z/\alpha][\delta_Z/\delta]LOC$$

A value for Z is stored via α_Z and read via δ_Z . The scope of a variable is limited by restricting with

$$L_Z = \{ \alpha_Z, \delta_Z \}$$

Translations of a expression E ([E]) are made such that they deliver the result via ϱ .

$$B_0$$
 result B_1 denotes $(B_0|B_1)\setminus Q$

A translated program [C] signals it's completion via δ whereafter it "dies". It is therfore convenient to define

done = 8!.NIL

and

$$B_0$$
 before $B_1 = ([\beta/\delta]B_0|\beta?.B_1)\setminus\beta$

for a $\beta \notin L(B_0) \cup L(B_1)$.

A procedure in ECCS is then translated as

[PROC G(VALUE X, RESULT Y) is
$$C_G$$
] = fixX $_G$ <(α_G !\\(\lambda_G[\(\loc \chi\)[LOC \chi\)[\(\loc \chi\)]\(\lambda_R^2\chi\).\(\lambda_G])

and the call

[CALL G(E,Z)] = [E] result (
$$\varrho$$
?x. \propto _G? ν . ν !x. ν ?z. \propto ₇|z.done)

Notes:

- i) As opposed to [Mill] no special local version of G is needed for it to call itself recursively, because it restores itselt even before it receives it's argument and since \bowtie_G from the restored G is accesible from inside $[\![\mathsf{C}_G]\!]$.
- ii) What happens in $\alpha_G | \lambda$.— is that the procedure by it's activation returns a communication link which is private to the caller and that particular activation. The privacy is ensured by $\underline{\text{Com}} \rightarrow (3)$ and the fact that no communication of that label is done later by neither the caller nor the corresponding activaton of G.

We will now look at how the parameter mechanism call by reference can be translated.

Assume the procedure decleration looks like PROC G(REF Z) is $C_G = P_G$. Then the translation could be:

$$[[CALL G(Y)]] = \alpha_G? \nu. \nu! \alpha_{Y}. \nu! \delta_{Y}. \nu?.done$$

Notes:

i) The idea is to connect the labels through which Y is accessed in the calling context to all the free occurences of α_Z and δ_Z in C_G at "runtime". This is done by communicating α_Z and δ_Z from the calling context via the private α_Z to the activated procedure and substitute it for the variables z_{α} and z_{δ} which corresponds to the places where the formal parameter Z is accessed.

ii) The substitution $[z_{\alpha}/\alpha_Z][z_{\delta}/\delta_Z][C_G]$ is ok even if there is a local decleration of Z in C_G . The local decleration of Z will occur on the form $(...B...)(\alpha_Z)(\delta_Z)$ in C_G , so $(\alpha_Z)(\delta_Z)(C_G)$ and by 2.Th.6.a we have

$$[z_{\alpha}/\alpha_7][z_{\delta}/\delta_7]((...B_{...})\backslash\alpha_7\backslash\delta_7) = (...B_{...})\backslash\alpha_7\backslash\delta_7$$

So the local Z cannot access the outer formal parameter ${\sf Z}$ as we expect.

iii) Confusion with names in the calling context is avoided automatically through $\underline{\text{Com}}\rightarrow (3)$ and $\underline{\text{Res}}\rightarrow (2)$. If for instance \bowtie_{γ} "on it's way" from the calling context passes through it's own scope (the restriction of L_{γ}) it becomes a variable ($\underline{\text{Res}}\rightarrow (Z)$) and when it "arrives" at the destination a new label name is chosen (according to $\underline{\text{Com}}\rightarrow (3)$) different from all other in the new extended scope.

We have also made translations for call by name, but have not found it possible to do it phrase-by-phrase.

Producing Primes

We will now descripe a program which emits the primes in increacing order via the label λ . We are going to use the algorithm known as Erastosthenes sieve. The program consists of two subprograms: INTEGERS and SIEVE. INTEGERS (abbreviated as I in the program) produce in increasing order all the integers from 2. SIEVE (S) sorts out the prime from the integers comming from INTEGERS. In SIEVE the subprogram FILTER (F) is used to filter out all multipla of a certain integer.

Let p,y be variables over integers, and i, o variables over the set of labels. Then the program can be decribed as

 $(I(2)|S(\delta))\setminus\delta$, where

 $I = fi \times X < y > \langle \delta! y . X (i+1) \rangle$



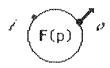
and

 $S = fixX\langle i\rangle\langle i?p.\lambda|p.(F(p,i,\alpha)|X(\alpha))\rangle\langle x\rangle$

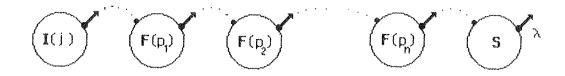


where

 $F = fixX\langle p, i, o \rangle \langle i?y.if y \mod p \neq 0 \text{ then } o!y.X(p, i, o) \text{ else } X(p, i, o) \rangle$

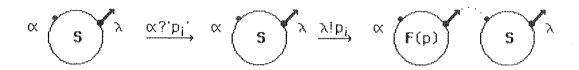


A typical situation is



where P_1 is the ite prime, e.g. $p_1=2$, $p_2=5$ etc.

Each $F(p_i)$ gets an ascending stream of integers from it's predecessor and passes them on to it's successor, suppressing all multiples of p_i . What happens when SIEVE receives it's first integer can be demonstrated by the following deriviation:



It is seen that the configuration contains as many FILTERs as primes found and each time a prime is found a new FILTER is created whereby the configuration enlarges. Notice furthermore that all FILTERs "works" concurrently in the sence mentioned in [Mil]p.26.

ECCS as presented here is one attempt at a smooth extension of CCS satisfying the goals outlined in the introduction. In the process of defining ECCS we have considered a great number of alternatives - slowly converging to ECCS in it's present form. We feel, and we hope the reader feels the same, that ECCS is reasonably in line with the elegancy of Milners CCS - at least this has been one of our main guidelinees in the process. We also fell there are some sound ideas underlying the calculus of ECCS, but we certainly do not claim that ECCS is the end product. There are still aspects with wich we feel uneasy, and let us just mention a few.

One has to do with the fact that in ECCS, as presented here, only one value may be communicated at a time. As long as we are only concerned with normal data-values, it is obvious how to extend the calculus to allow tuples of values (as in CCS). But for label-values the situation is not quite so obvious. At least, it requires some thought how to formulate "multi-change of scope in connection with single communication". We have chosen to present ECCS without going into these problems. Also, we have deliberately chosen not to consider the problems involved in generalizing CCS to allow passing of processes.

Another slightly unpleasing thing about ECCS is the fact that labels are somehow considered both as variables (bound by restriction) and values (to be substituted for label-variables bound by input commands).

Furthermore, ECCS obviously needs to be tried out on more challenging examples than the small toy problems we have considered in this paper.

Despite considerations like the above, which indicate that ECCS is maybe not yet "quite right", we are confident that ECCS represents a step on the right track in the process of solving the problems we set out to solve.

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