

A COMMENT ON BASBØLL'S PHONOLOGICAL SYLLABIFICATION  
AS APPLIED TO DANISH

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Abstract: Basbøll's principles for syllabifying phonological strings in Danish are discussed. Certain counter-examples to the predictions of these principles are presented, and it is argued that certain structural properties of Danish words cannot be adequately accounted for by Basbøll's syllabification strategy in its present form.

1. Introduction

In an important paper, Basbøll (1972) launched the idea that the inclusion of a syllable concept in a (generative) phonology of Standard Danish (henceforth SD) would explain (or at least connect in a natural way) quite a few seemingly disparate facts of the surface or near-surface phonological structure of SD. Basbøll's main finding was that a unique set of syllabification principles would supply the sufficient conditions for a simple account of the distribution of allophones of certain phonemes (or, within a generative phonological framework, the surface phonological behaviour of certain phonological segments). Of the distributional statements permitted by the introduction of syllabification, the most important were the following: Short /a/ is pronounced [α]<sup>1</sup> before homosyllabic non-coronal consonants, otherwise it is [a]. Short /o/ is pronounced [o] in open syllables and [ɔ] in closed syllables.

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1) My phonetic transcription of Danish word-forms is in agreement with Basbøll (1969), except that I use [p t k] for [ɸh ɸ<sup>s</sup>h gh] and [b d g] for [ɸ ɸ ɸ]. [α] denotes a low vowel half-way between cardinal vowels 4 ([a]) and 5 ([a]).

/p t k d g r/ are pronounced [p t k d g ʁ] in syllable initial position and [b d g ð γ ʀ]<sup>1</sup> in syllable final position, except that /d/ is dropped after homosyllabic sonorant consonants, and /g/ is dropped after homosyllabic nasals. (For further details, see Basbøll (1972, p. 187 ff, and 1974, p. 40ff.)

In Basbøll (1973c and 1974), this idea is further developed, and some principles for syllabifying phonological strings (at a certain level, cf. below) in SD are given (in the 1974 paper in connection with a more general discussion of the role of syllables and syllabification within the framework of generative phonology).

The present paper is mainly inspired by Basbøll's work. In section 2 we shall discuss the principles of syllabification given in Basbøll (1974) and Basbøll's use of "phonological" syllables and syllabification from a general point of view.

In section 3 we shall develop our main theme, viz. an evaluation of the observational adequacy of Basbøll's principles.

## 2. Basbøll's principles of syllabification

As mentioned above, several surface-phonological phenomena can be accounted for in a rather simple way if syllable boundaries (marking the domain, i.e. the syllable, of the relevant rules) are inserted in phonological strings according to a judiciously selected set of syllabification principles. The advantage of referring to syllables and syllabification in the description of the so-called consonant gradation in SD - i.e. roughly the alternations p-b, t-d, k-g, d-ð, g-γ, and ʁ-ʀ - and certain others, cf. Basbøll (1974, p. 42-43) and Rischel (1970) - is described by Basbøll in the following way: "Now it is very interesting that all these alternations can be subsumed under a single principle, viz. the well-known one of consonant weakening in syllable final position" (1974, p. 43). On p. 44 he goes on to state that "...it is clear

1) In most younger standards, [γ] has developed to [i] after front vowels and [ɪ] and to [u] after back vowels and [ʊ]. Where this development is relevant to the topic of this paper, it will be further discussed.

that the value of the above mentioned principle depends on whether there can be given explicit (and not unnatural) principles of the location of syllable boundaries that can account for all of the above mentioned phenomena [consonant gradation and the behaviour of short /a/ and /o/; PMH] without giving rise to complications elsewhere in the phonology. This will be attempted ... below."

In this section, Basbøll's principles of syllabification, as formulated in his 1974 paper, will be evaluated both from the point of view of their explicitness and from the point of view of their naturalness.

In section 2.1 we shall discuss whether Basbøll's principles of syllabification are sufficiently explicit for their predictions to be compared with phonological data in SD, i.e. whether or not ambiguities may arise when they are actually applied to phonological strings; this discussion thus concerns neither the naturalness nor the motivation of Basbøll's principles. These matters will be discussed in section 2.2.

In the remainder of this paper the symbol \$ designates a syllable boundary.

## 2.1 Are Basbøll's principles sufficiently explicit?

After discussing several factors (grammatical boundaries, initial and final segment combinations in grammatical units of different size, stress, surrounding vowels, sequences of consonants) which may interact in different ways in different languages to determine the location of syllable boundaries, Basbøll sets up a hierarchy of three principles "which seem, by and large, to account for the phonological syllabification in Danish" (1974, p. 82). These principles may be paraphrased as follows (but the reader should check this paraphrase against Basbøll's own formulations on p. 84-91):

(i) Let \$'s coincide with grammatical boundaries before words, before stems, before stressed native suffixes, and before zero. If, by the application of this principle, any two consecutive +syllabic segments have a \$ between them, the string is syllabified; otherwise, proceed to (ii).

(ii) In all cases of two consecutive +syllabic segments having no \$ between them, mark off all the places where a \$ can

occur without giving rise to a syllable-initial or a syllable-final cluster which is impermissible word-initially or word-finally, respectively; if there is only one such place, a \$ is inserted at that place. If, by the application of this principle, any two consecutive +syllabic segments have a \$ between them, the string is syllabified; otherwise, proceed to (iii).

(iii) In all cases of two consecutive +syllabic segments having no \$ between them, insert a \$ at the rightmost place marked off by principle (ii) if the second of the two +syllabic segments is shwa and in the leftmost place marked off by principle (ii) if the second of the two +syllabic segments is a "full" vowel, i.e. any vowel other than shwa, cf. below.

According to Basbøll, the application of these principles at the level of representation which is input to (the first of) the rules that have the syllable as their domain (or refer to a \$ in their structural description) will supply the \$'s needed by these rules with one important exception, "viz. when a consonant cluster which contains a stop other than /g/ preceded by either a (underlyingly) voiced continuant or a nasal, occurs before shwa. In that case the syllable boundary goes before the stop" (p. 90).

It should be noted that stressed native suffixes comprise both suffixes with main stress and suffixes with reduced main stress (secondary stress) as e.g. -inde and -dom, cp. skuespillerinde ('actress') [sgu·əsbe|n<sup>1</sup>enə] and barndom ('childhood') [ˈbɑ:n dʌm]. It should also be noted that the unstressed native suffixes -ig ([i]), -(n)ing ([ (n)en]), and certain occurrences of -isk ([isg]) have shwa at the level at which syllabification takes place.

The following examples may illustrate the function of these principles: mados ('smell of cooking') and godhed ('goodness') are syllabified /mad\$o?s/ and /go:d\$he?d/ (principle (i)); angre ('regret') is syllabified /ang\$bæ/ (principle (ii), cf., however, below); bade ('bathe') and Ida (a personal name) are syllabified /ba:d\$bə/ and /i:\$da/ (principle (iii)); verden ('world') and varten ('the landlord') are syllabified /vɛɐ\$bden/ and /vɛɐ\$bten/ (the exception to principle (iii)).

Now, let us try to determine the meaning of the expression "principles of syllabification". Since they have the effect of changing phonological strings at a certain point in a generative

phonological derivation, Basbøll's "principles" of syllabification must apparently be interpreted as informal descriptions of (the function of) a set of phonological rules which apply at a certain point in the generative phonological derivation, namely just before the (first of the) rules which have the syllable as their domain or which mention a \$ in their structural description, (cf. Basbøll, 1974, p. 84). In other words, if the derivational machinery is to work, the principles must be spelled out as phonological rules with the combined effect of inserting \$'s (hopefully) at the places where they are needed in order to take care of the above-mentioned processes (consonant gradation and vowel adjustment). Such rules can undoubtedly be formulated (on the rule(s) corresponding to principle (ii), however, see below), and I will not discuss here the (mainly formal) problems which probably arise in connection with the formulation of such rules.

Taking for granted that principles (i)-(iii) can be translated (some way or other) to phonological rules, I find them explicit to the extent that the entities they refer to are well-defined. From that point of view principles (i) and (iii) are impeccable: the grammatical boundaries mentioned in (i) and the full vowels and shwa referred to in (iii) are reasonably identifiable at the level at which syllabification takes place, cf. Basbøll's careful descriptions (1974, p. 84-86 and p. 88-89).

The reference in principle (ii) to structurally possible word-initial and -final clusters seems also unambiguous in view of Basbøll's reference (1974, p. 87) to his own work on Danish consonant combinations (Basbøll, 1973a) for a phonotactic description allowing one to distinguish between structurally motivated and accidental gaps in the corpus of consonant combinations. But the phonotactic description given in Basbøll (1973a) concerns a level which presupposes that the \$-dependent rules have already applied, cf. e.g. the existence on that level of segments like /ð ɣ p/, and cf. Basbøll's explicit statement that "The terms "pre-" and "post-vocalically" refer to the position in the syllable (the syllable division being in accordance with Basbøll (1972))." (Basbøll, 1973a, p. 110, footnote 2). The reference to Basbøll (1972) in this quotation is not of much help either, since the principles for syllable division given in that paper (p. 194) are "intra-level" principles, i.e. they state where the

's are (at the level of Basbøll (1973a)), not how they came to be there. Since the rules to which principle (ii) must be translated cannot refer to segments which only arise through the application of later rules, cf. below, we need to know what are the structurally motivated restrictions valid for the level which is input to principle (ii) (= the phonemic level in Basbøll's structuralistic description in 1973c). As far as I can see, this level must come close to the level used in Vestergaard (1967), since the idea underlying Basbøll's whole strategy of syllabification is to account for the allophones of certain phonemic segments (= the segments found at the level which are input to principle (ii) in a generative derivation). But the level in question is not identical to that of Vestergaard (as regards its inventory of consonants and consonant combinations). How, then, can we know what is structurally permissible or excluded on that level if we are not referred to a description of phonotactic constraints valid for such a level? The only answer seems to be that the structurally permissible word-initial and word-final consonant clusters on the level in question are those which - through the application of syllabification rules and syllable final weakening - would yield structurally permissible word-initial and word-final consonant clusters at the level described in Basbøll (1973a). The inventory of permissible clusters on Basbøll's phonemic level (= the level which is input to syllabification) probably only deviates from Vestergaard's inventory in cases where the derivationally corresponding clusters on lower levels are permitted by Basbøll but excluded by Vestergaard (in the sense that Basbøll's rules, if applied to Vestergaard's phonemes, would not generate the desired output). To take an example: In Vestergaard's material (which is reproduced in Basbøll (1973a, p. 138-139)) final clusters containing sonorant + /d/ or /b/ are excluded; but it can be inferred from Basbøll's exceptions to principle (iii) (1974, p. 90) that such final clusters are permissible (and distinct from sonorant + /t/ or /p/) on the level which is input to syllabification, since otherwise it would be superfluous to mention words like andre ('change' (vb.)) and jambe ('iambus') as examples of such exceptions (they would be unequivocally syllabified by principle (ii)).

I conclude that the clusters permitted word-initially and word-finally on the phonemic level (= the level which is input to syllabification) are such as would produce - through the application of syllabification and syllable final weakening - word-initial and word-final clusters permitted on the level described in Basbøll (1973a).

This means that Basbøll's principle (ii) in a way "looks ahead", i.e. its correct application is conditioned by the possible outcome of tentative applications of principle (ii) and (iii) and other later rules. This is neither circular nor meaningless as long as principle (ii) is only given as an informal description of the function of a phonological rule (or of a set of phonological rules), but as suggested above, such rules must probably be formulated in terms of the segments found at the level at which they apply, since the application of a generative phonological rule can only depend on its own structural description which, as far as I know, is an "intra-level" concept. This means either that the phonological rules corresponding to principle (ii) must refer (in their structural description) to possible word-initial and -final segments on that level or that the rules must refer directly to the sequences of segments found at that level, as Basbøll actually suggests (1974, p. 79); cf. also the formulations of \$-insertion rules in other generative phonological works, e.g. Hooper (1972) and Vennemann (1972).

If the rules corresponding to principle (ii) are formulated in terms of the segments found at the level at which syllabification takes place (and this seems to be technically necessary, cf. above) they will, for instance, have to prohibit the potential syllabification /havg\$ə/ of the name Hauge [<sup>h</sup>havgə], since otherwise the output would be \*[<sup>h</sup>havgə], whereas they will have to allow the potential syllabification /alg\$ə/ of alge ('seaweed') [<sup>a</sup>algə], since otherwise the output would be \*[<sup>a</sup>algə]. These examples illustrate an important property of the whole strategy: Basbøll has decided that (most of) the phonotactic restrictions valid for consonants should be stated by reference to the phonological syllable and that they should refer to the segments occurring at a level at which "syllable final" weakening has already applied (this is one of his main claims in Basbøll (1973a)).

It is his task, therefore, to supply a syllabification which makes this possible, and this means that the insertion of \$'s at a (slightly) higher level is functionally determined rather than structurally motivated from the point of view of the higher level.

In the following, I shall assume that the above-mentioned interpretation of principle (ii) is in accordance with Basbøll's intentions (otherwise one would need a reference to a phonotactic description valid for the phonemic (= pre-syllabificational) level). If this is correct it may be concluded that Basbøll's principles of syllabification are sufficiently explicit in the sense that their application to phonological strings do not give rise to ambiguities. In the next section we shall evaluate these principles from a more general point of view.

## 2.2 Basbøll's use of syllable boundaries

Basbøll's use of syllables and of syllable boundaries is of interest not only from the point of view of the generative phonological framework in which it was launched. Since it can also be seen as an interesting attempt to account for the distribution of surface phonological segments (see Basbøll, 1973c), it may be compared with other ways of dealing with the syllable in structuralistic and pre-structuralistic as well as in generative phonology and descendants of the latter trend, e.g. natural generative phonology. Since, however, this is not the place for a general discussion of the syllable, I shall confine myself to a few theoretical remarks concerning Basbøll's concept of the role of syllable boundaries.

It may be expedient to consider first Basbøll's motivation for referring to his syllables as "phonological" rather than "phonetic". The following quotation gives us the cue: "In some cases (e.g. Grüsse /gr̄ys·ə/ the postulated syllable boundary may not coincide with the intuitively felt syllable boundary or with some experimentally established syllable boundary (or better: experimental data may seem to contradict the proposed syllable boundary). This may indicate that the syllable we are dealing with is a more abstract entity than the phonetic syllable, viz. a "phonological syllable". Nevertheless I dare use the term "syllable" since it is an entity which has, in Danish at least,



exactly one phonological vowel and whose boundaries can be posited in accordance with some generally recognized principles for syllabification [roughly: principles (i) and (ii) above; PMH]" (1972, p. 193). This quotation and other passages of his clearly show that the "phonological" aspect of Basbøll's syllable lies in its being a descriptive device which need not be motivated by phonetic or typological "naturalness". In other words, Basbøll's phonological syllables (and, in particular, their boundaries) are primarily motivated by what they accomplish (together with the \$-dependent rules), viz. by the fact that certain surface-near structural constraints may be economically stated by reference to them. Superficially, Basbøll's principles of syllabification and the later \$-dependent rules may thus look like a rule conspiracy in Kisseberth's (1970) sense. The similarity is only apparent, however: postulating a set of rules which have the combined effect of rescuing some (real) surface constraint which is statable in terms of segments in their relation to each other and to independently motivated grammatical boundaries is very different from postulating a set of rules (like Basbøll's) whose application merely permits the description of some surface-near constraints by (direct or indirect) reference to "phonological" syllable boundaries which have, of course, no physico-phonetic (segmental) existence at all, but may, at best, in some cases (e.g. probably not in a word like bade ('bathe') [<sup>1</sup>bæ:ðə]) correspond to psycho-phonetic syllable boundaries.

Incidentally, I find Basbøll's three-way distinction between "phonological", "phonetic", and "psychological" syllables and syllable boundaries (1974, p. 72-73) somewhat dubious. If "the fact that in German, word-initial vowels have a glottal attack, e.g. ein Esel [ʔain ʔe:z|]" is an example of "phonetic syllabification" (p. 74), then the terminology is at best confusing. In my view (and in my terminology), the interpretation of glottal stops in German as manifesting syllable boundaries is no less phonological than the interpretation of a Danish word like gade ('street') [<sup>1</sup>gæ:ðə] as /ga:d\$ə/, but the former interpretation is obviously more concrete in the sense that the relation between perceptual data (which are conditioned by both physical and psychological factors) and interpretation is more direct.

In order to illustrate the theoretical status of Basbøll's "phonological" syllable it may be fruitful to compare it with two main trends in the use of syllables and syllabification by various phonologists: one of these is characteristic of glossematic theory and may be characterized as follows: once the number of syllable peaks is established at a certain level of representation, then boundaries may be inserted between them at places where the phonologist can use them to provide an economical description of the distribution of segments. In many cases, this gives the phonologist a high degree of freedom to distribute syllable boundaries (with the obvious restriction, though, that only one syllable boundary may be posited between two consecutive syllabic peaks). As is well known, this freedom is typically used (when possible) to insert syllable boundaries at places where they will split up intervocalic consonants or consonant clusters in a possible word-final + a possible word-initial consonant or consonant cluster, the need for making special statements about possible medial clusters thus being eliminated (cf. Basbøll's principle (ii)). In cases where - at a certain level of abstraction - this can be done in several ways, the syllable boundaries are (when-ever possible) inserted at such places as to make the manifestations of consonants on lower levels statable in terms of the position in the syllable (cf. Basbøll's principle (iii)). Within structuralistic schools this relatively free use of syllable boundaries often amounts to the postulation of phonemic syllable boundaries which may permit a reduction of the inventory of phonemes, cf. e.g. Hjelmslev's (1938) treatment of [z] and [s] in German as allophones of the same phoneme.

The other main trend is characteristic of natural generative phonology (Hooper, 1976; Kahn, 1976) and differs crucially from the first in that \$'s are inserted in phonological strings according to phonetically and typologically based hypotheses of natural syllabification of sequences of segments, leaving relatively little room for language specific deviations.

Basbøll's use of syllable boundaries is in important respects a compromise between these two conceptions: it resembles the former in that its motivation is primarily descriptive economy and in that relatively large freedom is allowed in connection with the placement of syllable boundaries, but it resembles the

latter in that these syllable boundaries are nevertheless inserted by explicit principles which are translatable to phonological rules proper.

From the point of view of the latter trend, Basbøll's principles may be criticized on the grounds that some of his \$'s are definitely located at unnatural (or at least unexpected) places. This is true, in particular, of the \$'s occurring before shwa, especially when the preceding vowel is long as in bade ('bathe') [ 'bæ:ðə ] which is syllabified /ba:d\$ə/. Basbøll is, of course, aware of that, and in fact he makes a point of claiming that these "unnatural" locations of \$'s constitute the "phonological" or "marked" aspect of his syllabification (cf. the quotations above). I shall not go much deeper into such theoretical issues here, but I would like to point to some implications of Basbøll's principles which seem to be of general methodological interest.

In the following we shall discuss each of Basbøll's principles separately.

Ad (i). The general content of this principle, viz. the claim that certain (transparent) grammatical boundaries are also syllable boundaries is uncontroversial, as far as I can see. It is a traditional insight that languages may differ as to the types and ranks of grammatical boundaries which have phonological effects of the sort usually related to syllable structure and syllabification. Pulgram's (1970) distinction between word-languages, nexus-languages, and cursus-languages is probably of typological significance. From this point of view, Danish is definitely not a cursus-language (like e.g. French), but probably a nexus-language, i.e. the morphophonemic string to be syllabified on purely phonological criteria (in Pulgram's terminology: the section) is a non-compound wordform, perhaps including certain clitic extensions. In short, I find Basbøll's principle (i) and also the fact that it is ordered before the other principles both meaningful and typologically plausible.

Ad (ii). This principle is, of course, well known and indeed accepted by many phonologists. I nevertheless think that the application of this principle to Danish (and certain other languages, e.g. German) may be criticized on the following grounds: the splitting up of intervocalic consonant clusters in a possible word-final cluster + a possible word-initial cluster is well

motivated in languages in which any vowel may occur in any syllable. In Danish, however, there are certain restrictions in the inventory of vowels found in certain syllable positions, the most important restriction being that shwa does not occur in a word-initial syllable, and this means that principle (ii) becomes somewhat arbitrary: the idea underlying this principle would appear to be that the word should be regarded as composed of an integral number of syllables which are all potential words or minimal utterances. As is well known, such an analysis is not possible in all languages, either because any location of the syllable boundary would lead to a syllable-final or -initial cluster which is structurally excluded word-finally or -initially, as in Finnish, or because certain vowels (notably shwa) do not occur word-initially or word-finally. In Danish and German, shwa does not occur as the first segment of a word (in Danish shwa is even impossible as the vowel of the first syllable of a word). This does not mean that principle (ii) is completely arbitrary, of course; but the application of this principle to a language like Danish seems to be based upon the somewhat questionable assumption that the consonantism of a word is more relevant to potential divisions than its vocalism. Since e.g. the sequences [mnə], [ə], and [ðə] are all structurally excluded as word-initial segment combinations in Danish, neither of the syllabifications /ba:\$də/ and /ba:d\$ə/ of the word bade ('bathe') [ 'bæ:ðə ] (which are both permissible as far as principle (ii) is concerned) are a priori more motivated from the point of view of Danish word structure than the syllabification /ε\$mnə/ of the word emne ('subject') [ 'εmnə ] (which is excluded by principle (ii)). I do not deny the descriptive advantages of principle (ii) in its combination with certain parts of principle (iii); but the appeal to the conception of syllables as possible words implicit in principle (ii) is not straight-forward in a language like Danish, and if the structure of words must be used as a criterion for excluding certain locations of syllable boundaries, then the reason for considering consonants more important than vowels should be stated explicitly. As mentioned above, these remarks are not meant as a criticism of the descriptive value of principle (ii), and I only mention this problem because the tacit acceptance of principle (ii) is likely to conceal what I consider an important structural

property of Danish words, viz. that they cannot always be regarded as composed of syllables which are potential words. Basbøll's statement (1972, p. 194): "Syllables always begin with a "full vowel" or with a possible word-initial consonant or consonant cluster..." must be a lapse, since it is incompatible with his statement later on the same page that "One intervocalic consonant belongs to the syllable of the preceding vowel if the following vowel is /ə/...", but it may nevertheless reflect the above-mentioned neglect of the importance of vocalism in principle (ii).

Ad (iii). This principle is, of course, crucial for Basbøll's whole strategy. However, in addition to being responsible for the major part of the observational inadequacies which will be exemplified in the next section, it gives rise to some minor problems which ought to be taken into account in the evaluation of Basbøll's syllabification. The postulation of principle (iii) was inspired, I think, by four important facts of Danish surface phonology:

1) the inventory of word-final consonants is identical to the inventory of single intervocalic consonants occurring before shwa; 2) the inventory of word-initial consonants is identical to the inventory of single intervocalic consonants occurring before a full vowel. 3) /a/- and /o/-adjustment invariably take place when the vowel of the following syllable is shwa and when the /a/ or the /o/ is the last vowel of a word. 4) consonants with phonetic stød-basis, i.e. [m n ŋ | p̥ ð γ | i̥ u̥], may receive the stød irrespective of whether they are followed by shwa, consonants or word boundary. Thus, by postulating that the syllable boundary goes to the right of a single intervocalic consonant if it is followed by shwa and to the left if it is followed by a full vowel, the need for making special statements about single intervocalic consonants is eliminated. The descriptive advantage of this is obvious, and the principle of locating the syllable boundary to the right of (at least some) consonants before shwa can be traced back at least to Hjelmslev (1951) and has also been adhered to in many Danish dialect monographies (e.g. Jul Nielsen (1968)). In most Jutlandic dialects, this sort of description is even more motivated than in SD because in these dialects the gradation series k-g-γ and t-d-ð are paralleled by the labial series p-b-β.

Thus, the description of single intervocalic consonants before shwa as syllable final and also the description of single

intervocalic consonants before full vowels as syllable initial must be given credit for a certain elegance.

The situation is somewhat different when it comes to intervocalic consonant clusters. In the case of clusters before shwa, it is clear that it is the behaviour of only certain of these which has lead Basbøll to locate the \$ as far to the right as permitted by principle (ii) instead of locating it, say, to the right of the first intervocalic consonant (which would cover the cases with single intervocalic consonants as well): by locating the \$ as far to the right as possible it becomes possible to ascribe the behaviour of stops preceded by obstruents and /g/ preceded by sonorants to their position in the syllable in the same way as with single intervocalic stops and /r/. In all other cases, however, there is no descriptive motivation for preferring the rightmost location of the \$ compatible with principle (ii) rather than the location one segment to the left of that position; as a matter of fact, the latter location would in most cases be preferable from the point of view of naturalness. Thus, the only motivation I can see for syllabifying a word like salme ('hymn') /salm\$ə/ rather than /sal\$mə/ is economy of formulation: from a purely descriptive point of view, such a syllable boundary will do no harm within Basbøll's framework, and it permits principle (iii) to be formulated in a simple way; but it has no function whatsoever, and it is such syllable boundaries and also the fact that clusters containing a sonorant + a stop other than /g/ must be excepted from principle (iii) which makes Basbøll's whole strategy less convincing. Consider, e.g., the derivation of the words Hauge, Frauke (personal names), alge ('seaweed'), and malke ('milk' (vb.)) (starting with the level which is input to syllabification (the "phonemic" level); [u̇] is here derived from /v/; it is immaterial in the present context whether it should be derived from /u/ instead, cf. the discussion in section 3 below. The semicolons denote the potential locations of syllable boundaries marked off by principle (ii)):

	Hauge	Frauke	alge	malke
input	havge	fravke	alge	malke
(i)	-----	-----	----	-----
(ii)	hav\$ge	frav;k;e	al;g;e	mal;k;e
(iii)	-----	frav\$ke	alg\$e	mal\$ke
weakening	hau\$ge	frau\$ke	aly\$e	mal\$ke
output	<sup>^</sup> hau\$ge	<sup>^</sup> frau\$ke	<sup>^</sup> alye	<sup>^</sup> malke
(by later rules:	[ <sup>^</sup> hau(.)ge	<sup>^</sup> frau\$ge	<sup>^</sup> al(.)y/iə	<sup>^</sup> malge]).

It is a good illustration of Basbøll's strategy that the similar clusters /vg/ and /vk/ are divided in the same way but for different reasons, i.e. according to two different principles, whereas the equally similar clusters /lg/ and /lk/ are divided in different ways according to one principle, viz. principle (iii) and its exception. Having chosen beforehand to ascribe the pronunciation [y] of /g/ to its position in the final part of the syllable, Basbøll must claim that /g/ is final in alge but initial in Hauge and select his syllabification rules accordingly.

The above-mentioned draw-backs may not be too serious, after all. At least their significance depends on one's conception of the function of syllables and of the factors determining syllabification. If their observational adequacy can be considered satisfactory, Basbøll's principles of syllabification must be considered an interesting attempt to account for consonant gradation and vowel-adjustment.

In the next section we shall see, however, that certain surface phonological facts of SD are incompatible with Basbøll's description.

### 3. Are Basbøll's principles successful?

Since we concluded above that Basbøll's principles of syllabification are sufficiently explicit to be empirically interpretable, we are now in a position to confront their effects with surface-phonological data from SD to see whether they are observationally adequate in the Chomskyan sense.

First, however, the following remarks should be made: It was natural for Basbøll to emphasize the impressive lot of data which are accounted for by his hypothesis (and which, of course, inspired him to formulate that hypothesis) and to leave it to others to search for data which are not. [Data of the first kind can be found in Basbøll (1972 and 1974).] The data to be presented below belong to the latter category. Such data have not, to my knowledge, been published before (at least not from the point of view of their being counter-examples to Basbøll's findings), and I therefore consider them relevant to an evaluation of the empirical success of Basbøll's syllabification principles, e.g. they may give rise to questions like the following ones: are such data sufficiently marginal to be ignored? if not, can Basbøll's principles of syllabification be amended to cover these facts without becoming extremely ad hoc or without entailing more complications than they were invoked to remove?

The validity of many of the examples in this section depends on the following assumptions concerning Basbøll's descriptive framework (as outlined in Basbøll (1973a, 1973b, 1973c, and 1974)):

(1) Non-alternating as well as alternating occurrences of [ $\gamma$   $\delta$   $\underset{\wedge}{p}$   $\underset{\wedge}{u}$ ] are derived from /g d r v/ (the alternation v- $\underset{\wedge}{u}$  raises special problems, though, cf. below). The correctness of this assumption - which is only crucial from a generative viewpoint for the validity of some of the types of counter-examples mentioned below - can be inferred from several passages in Basbøll's writings, cf. e.g. his examples of exceptions to principle (iii) (1974, p. 90) from which it can be seen that e.g. a word like alge [ $^1a|v\theta$ ] contains a stop /g/ at the level which is input to syllabification ([ $\gamma$ ] does not alternate in the morpheme in question). Anyway, since Basbøll's principles of syllabification are also meant to be part of a structuralistic description of Danish phonology, cf. in particular, Basbøll (1973c, p. 32), it cannot



be decisive, at least in such a description, whether or not certain segments alternate. Since the phonemic level in such a description seems to be identical - as far as the inventory and arrangement of segments is concerned - to the level which in a generative phonology is input to the syllabification rules, I shall refer to such a level as the phonemic level except in certain cases where the generative aspect calls for special comment.

(2) Possible word-initial and -final consonant clusters are those described in Basbøll (1973a) (note especially that /vl/ and /vj/ are taken to be structurally possible word-initial clusters).

(3) The "syllable final weakening processes" comprise the consonant gradation phenomena mentioned in Basbøll (1974, p. 42-43) and the manifestation of /v/ as [u] after short vowels, cf. Basbøll (1973c, p. 32) (on the relation between [v], [u], and [u], however, cf. below). Note especially that homo-morphemic [ŋ] and [ŋg] are both taken to manifest /ng/, [ŋ] being the syllable final manifestation.

(4) The manifestation of /g/ after homosyllabic vowels and non-nasal sonorants is [ɣ] in conservative standards, whereas it is [u], [i] or zero in younger standards (cf. also below).

(5) The types of morpheme boundaries obligatorily coinciding with syllable boundaries are those mentioned in principle (i) above.

The correctness of these assumptions can be inferred from Basbøll's writings on Danish phonology (cf. the references above), and I only mention them explicitly, because they are crucial for many of my counter-examples. In some cases, however, some (parts) of these assumptions will be further discussed.

It should be noted that Basbøll's principles "should ... be taken as exemplifying the preceding discussion [of various grammatical and phonological criteria for syllabification; PMH] rather than explaining the location of every syllable boundary in Danish" (1974, p. 83). Thus, if e.g. some of my examples depend crucially on the restricted list of boundary types given in principle (i), this is not in itself fatal to Basbøll's general strategy: Basbøll's principles are tentative (as he himself remarks) and to a certain extent independent of his general use of syllabification. In other words, some of the following types of examples do not per se seriously undermine Basbøll's syllabificational strategy,

but they are all problematic in some way or other if principles (i)-(iii) are interpreted rigoristically.

Many of the examples in this section are foreign words and proper names. However, I do not think that this can be used as an argument against their validity as counter-examples to the predictions of Basbøll's principles: most of the material used by Basbøll himself to illustrate the dependence of his syllabification upon the distinction between "full" vowels and shwa consists of foreign words and proper names (like Amanda, Hulda, Gerda), and it is one of the main claims in Basbøll (1972) that it is the presence of full vowels in posttonic syllables, not e.g. a deviant syllabification, which signals a foreign word structure, a view which I fully share.

The structural types which are problematic in connection with Basbøll's principles of syllabification fall into several groups:

(I) Most words in which, according to assumptions (1) and (3) above, one of the phonemic clusters /vj vr vl/ occurs between a (preceding) short vowel and a (following) full vowel which belongs neither to a stressed native suffix nor to one of the suffixes -ing, -ig, and -isk. Since a \$ before any of the clusters in question would yield a structurally possible word-initial cluster, and since they are followed by a full vowel (not derivable from shwa), the application of principles (ii) and (iii) will place the \$ before these clusters; they will accordingly be treated as syllable-initial, and the syllable-final weakening rules will not apply to them, but in the following words the intervocalic clusters are pronounced with a "weak" first member, i.e. the pronounced clusters are [u<sub>i</sub> u<sub>v</sub> u]: Sovjet ('Soviet'), aura ('aura'), aurikel ('auricula'), aurora ('aurora'), Laura, Laurids, Europa, manøvrere ('manoeuvre' (vb.)), Euripides, Povla, Paulus, Aulin (name of a firm), aula ('aula', 'hall'), paulun ('tent', 'pavilion'), støvlet ('bootee'), Pauline.<sup>1</sup>

To be sure, the validity of these words as clear counter-examples to Basbøll's predictions is disputable: they would be more detrimental to Basbøll's syllabification if their [u] were

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1) But note that Sovjet and manøvrere may also be pronounced with [v<sub>i</sub>] and [v<sub>v</sub>], respectively, i.e. in some words [v] and [u] are in (a kind of) free variation.

in alternation with [v], but this is not the case in the words above, and I am not sure that examples of alternations between [v] and the [u̱] of homo-morphemic [u̱i]-, [u̱ʊ]-, and [u̱l]-clusters can be found. The significance of the above examples of [u̱C]-clusters thus hinges upon two assumptions: a) that they are derived from /vC/-clusters, and b) that [u̱] is the manifestation of /v/ in the final part of the syllable, cf. assumptions (1) and (3) above. Although this seems to be Basbøll's position (1973b, p. 42), his suggestion (1973c, p. 76ff) that [Vu̱]-diphthongs before homo-morphemic obstruents (e.g. in a word like sovs ('sauce') [sʌu̱'s]) be derived from underlying /Vu/ could probably easily be generalized to comprise all occurrences of non-alternating [Vu̱], and in that case the words above would not be counter-examples. I nevertheless think they are worth mentioning, since Basbøll's principles of syllabification are clearly relevant to a structuralistic interpretation of [v] and [u̱] as allophones (syllable initial and -final, respectively) of one phoneme (/v/). Even under this analysis, however, the intervocalic [u̱l]- and [u̱i]-clusters before full vowels might be considered marginal. They are listed here because /vl/ and /vj/ are considered possible word-initial clusters in Basbøll (1973a), cf. also the (foreign) names Vladimir and Vietnam. (The pronunciation [sʌ'v̱iɛd] of Sovjet is, of course, in agreement with Basbøll's predictions.)

In short, what the examples with intervocalic [u̱C] (at least those with [u̱ʊ]) actually show is that if Basbøll's machinery is to work, then either [u̱] cannot be derived from /v/ in such cases (phonemically speaking: [u̱] and [v] cannot be interpreted as allophones of the same phoneme) or the distribution of [v] and [u̱] cannot be accounted for by reference to their position in the syllable. Basbøll's own suggestion that [u̱] be derived from /u/ (phonemically speaking: that [u̱] be the postvocalic allophone of /u/ (at least after short vowels; after long vowels the situation is more complex)) would probably be a satisfactory solution of this problem. /v/ would then simply be defectively distributed (it would not occur after a homosyllabic short vowel), and there would be no discrepancy between the behaviour of the intervocalic consonant cluster in livré ('livery') [li'v̱be] and e.g. manøvrere ('manoeuvre' (vb.)) [manøu̱'be:ɐ] (these words would be underlyingly and/or phonemically /li'v̱re/ and /manøu̱'re:ɐ/, respectively).

But of course, a rule which de-syllabifies postvocalic /u/ would have to be postulated.

(II) Some words in which the phonemic clusters /gr gl gn gv/ occur in environments like those mentioned under (I) above; although principles (ii) and (iii) will insert a \$ before these clusters they are pronounced with a weak first member ([ɣ] or, in younger standards, [ʊ] or [i] according to the specific context): (Sigrid), Børglum, Ragna, kognitiv ('cognitive'), inkognito ('incognito'), magnet ('magnet'), magnat ('magnate'), stagnere ('stagnate'), stagnation ('stagnancy'), magnium ('magnesium'), magnesium ('magnesium'), magnum ('big-'), sphagnum ('peat moss'), Magnus, Agnete, Dagny, (Sigvald, Sigvard).

Most of these examples are clear counter-examples in those conservative standards in which postvocalic written g is pronounced [ɣ] in the words above. (In some very conservative standards the pronunciation [ŋn] is possible for intervocalic written gn in these words, cf. e.g. Hansen (1956, p. 82). In such standards, the words magnet, etc. are counter-examples of the type illustrated in (IV) below.) In most younger standards, however, the words with intervocalic written gr, gl, gn, gv contain (non-alternating) [ʊC]- and [iC]-clusters, and if, in these standards, such words have underlying /jC/ and /vC/, then the words with [iC]- and [ʊn]-clusters are definitely not valid as counter-examples, since /jC/ and /vn/ are impossible word-initial clusters; furthermore, the remarks above (concerning non-alternating [ʊC]-clusters) will, in these standards, apply to the words in which written gr and gl are pronounced [ʊʁ] and [ʊl].

If, however, these words contain underlying (phonemic) /gC/-clusters (and within Basbøll's framework this seems inescapable in standards with surfacing [ɣ]) they are incorrectly processed by Basbøll's rules, since the \$ will be located before the /g/, whereas words like agrar ('farmer') [a'gʁɑ:] and agglutinerende ('agglutinating') [aglutine'ðnə] are in agreement with Basbøll's treatment. The pronunciation of the words prognose ('prognosis') and diagnose ('diagnosis') is of particular interest in this connection. These words may be pronounced [pʁo'gno:sə] and [dia'gno:sə], respectively. Within Basbøll's framework, these pronunciations could be due to two different interpretations: 1) a "pre-stem" boundary may be felt by some speakers to occur

before /g/ (this would be etymologically "correct"), or 2) the words may be interpreted as mono-morphemic. In either case, Basbøll's principles will locate the syllable boundary before /g/, albeit for different reasons. In standards with [ɣ], however, the pronunciations [pʁoɣ¹no:sə] and [diɑɣ¹no:sə] are by no means rare. It is tempting to assume that the pronunciations with [g] in the latter ([ɣ]-)standards reflect the bi-morphemic analysis, whereas the pronunciations with [ɣ] represent the mono-morphemic analysis which leaves the syllabification to be determined by purely segmental criteria. Anyway, the pronunciation with [ɣ] can hardly be compatible with a morpheme boundary before this [ɣ]. (It should be mentioned that the pronunciations [pʁo¹no:sə] and [dia¹no:sə] are also common.)

The proper names Sigrid, Sigvald, and Sigvard are parenthesized because a morpheme boundary (of the "strong" kind mentioned in principle (i)) might be postulated to occur after Sig-, cf. names like Ingrid, Thorvald, and Edvard, but they are of interest because they are probably the only existing words with intervocalic [ɣʁ] and [ɣv] before full vowels, and it is not unlikely that such clusters could be freely introduced in Danish mono-morphemic words (this question concerns the descriptive (predictional) adequacy of Basbøll's principles); it seems inescapable that the other words are incompatible with Basbøll's analysis, at least if they are pronounced with [ɣC]-clusters.

(III) Some words with postvocalic /dj dr dv/ before a full vowel: Gudrun, Edvin, Edvard, klodrian ('clumsy person') and some words of Latin origin with the Latin prefix ad-, e.g. adjutant ('adjutant'), adjektiv ('adjective'), advent ('advent'), advokat ('lawyer'). If these words are to be processed correctly by Basbøll's rules, a \$ must be inserted after /d/ in order to generate the pronunciation [ð] of this phoneme, and this must be taken care of by principle (i), since principles (ii) and (iii), if allowed to apply, would locate the \$ before the /d/ (for the reasons mentioned above). This means that a transparent morpheme boundary (rigoristically: a morpheme boundary belonging to one of the categories mentioned in principle (i)) must be postulated to occur after /d/. As for the latter type (with ad-) one can, of course, claim that such a boundary exists, but the transparency of such a morpheme boundary to other than linguists or latinists

is highly questionable in cases where the "stem" does not occur in isolation (or in combination with other "prefixes") and/or the ad- is not interpretable (to the naive speaker) as a prefix, cf. that there are no such "stems" as \*jektiv, \*judant, \*vent, \*vokat. If such a morpheme boundary is nonetheless postulated in order to rescue Basbøll's principles, then it will be difficult to explain why there is apparently no such boundary if the latin stem begins with a vowel as in adaptere ('adapt') [adɔb'te:ɔ], adept ('adept') [a'dɛbd], adoptere ('adopt') [adɔb'te:ɔ]. It is tempting to assume that the pronunciation of written d as [d] or [ð] in such words is conditioned by purely phonological, i.e. non-grammatical, criteria.

The word adækvat ('adequate') is particularly instructive in this connection. This word is pronounced [adɛ'kvæ:d] by some people (primarily, I think, by people who are not aware of the fact that from a latin point of view it is morphemically complex). However, those who use this word actively and frequently almost always pronounce it with [ð]. This may in some cases be due to a morphemic analysis, but it is highly significant that the pronunciation of the written d as [ð] in this word is often correlated with the pronunciation [ə] of the following written æ, i.e. [aðə'kvæ:d] (at least there seems to be no opposition between [ə] and [ɛ] in such a context). This pronunciation is quite regular if no morpheme boundary is felt to occur after /d/.

As for the words Gudrun, Edvin, Edvard, and klodrian, I would not claim that the postulation of a (transparent?) morpheme boundary after /d/ is entirely ad hoc, cf. words like Gudmund, Ervin, Sigvard, dumrian ('stupid person'), grimrian ('ugly person'); but the addition of such types of morpheme boundaries to the categories listed in principle (i) obviously makes Basbøll's whole strategy considerably more complicated and less attractive, and like the words with intervocalic [ɣʊ] and [ɣv], such words are of interest to the descriptive adequacy of Basbøll's principles.

(IV) Words like jonglere ('juggle'), jonglør ('juggler'), Ingrid, pingvin ('penguin'). At the level which is input to syllabification, these words must apparently contain the intervocalic clusters /ngl ngr ngv/ since the only source of [ŋ] within Basbøll's framework seems to be an underlying nasal followed by /g/ or /k/, the post-nasal /g/ being deleted (via

lenition to [ɣ]) in the final part of the syllable. (It is possible that the nasal is at that level already specified as velar; anyway, the rule that velarizes a nasal before /k/ and /g/ seems to have a domain larger than the syllable, but it must at least apply before the syllable-final deletion of post-nasal /g/, and this means that /g/ must be present at the level at which syllabification takes place.) Since these words are normally pronounced [ʃʌŋ'le:ɹ, ʃʌŋ'løp', 'eŋβið, peŋ'vi:n], the \$ must be inserted after /g/, but principles (ii) and (iii) will (for the reasons mentioned under (I) above) insert it before /g/, thus yielding \*[ʃʌŋ'gle:ɹ, ʃʌŋ'gløp', 'eŋgβið, peŋ'gvi:n] as the final output. (Of these pronunciations at least ['eŋgβið] and [peŋ'gvi:n] are hardly ever heard; the others are possible (according to ODS) but extremely rare, as far as I know.) To state the problem in nuce: it is difficult, within Basbøll's framework, to account for the fact that a word like lingvist ('linguist') [leŋ'gvi:sd] has a pronounced [g] while a word like pingvin has not.

(V) In words like gamma (name of the Greek letter), Hammurabi, Kamma, mammon ('mammon'), mammut ('mammoth'), Abba (name of a popular Swedish song group), Pablo, kappa (name of the Greek letter), Afrika, (akkumulator) ('accumulator'), akkurat ('accurate'), Malacca, Bacchus, khaki ('khaki'), (akvavit) ('aquavit'), Jakob, Ajax, Maja, the first and/or stressed vowel is [ɑ] in my speech and also in the speech of most other speakers of SD (I have interviewed a few speakers of Copenhagen Standard Danish with this in mind and asked my phonetician colleagues), and words like gummi ('rubber') and Gunna are invariably pronounced ['gɔmi] and ['gɔna], as far as I know. Within Basbøll's framework these pronunciations would presuppose a \$ after the (first) intervocalic consonant, but the principles of syllabification will place it before the (first) intervocalic consonant (for the reasons mentioned under (I) above). Although some words of this type vacillate between [a] and [ɑ] (in my material, this seems to be true of the parenthesized words), I think there is sufficient evidence that the correlation in C<sub>0</sub>aC<sub>0</sub>VC<sub>0</sub>-structures between frontness (acuteness) of /a/ before an intervocalic non-coronal (grave) consonant (or before an intervocalic consonant cluster whose first member is non-coronal) and the presence in the following syllable of a full vowel is not so high as Basbøll seems to presuppose. This

is particularly significant in cases where the intervocalic consonant is an exclusively "syllable-initial allophone", i.e. [p] or [k]: if akkurat is pronounced [aku'ʋα?d] as seems to be the normal case, then the domain of /a/-adjustment must be larger than the syllable (but smaller than the word, cf. below). Incidentally, Basbøll mentions this particular problem (1974, p. 67); he interprets such cases as a symptom of a phonological change in progress, the /a/-adjustment rule being in the process of enlargening its domain. This may be true (although I am somewhat sceptical about his claim that the pronunciation [pα<sup>1</sup>pip<sup>1</sup>] is a new phenomenon (to the extent that it occurs)), but the important thing is that if /a/- and /o/-adjustment (cf. the examples gummi and Gunna above) do not have the syllable as their domain, then two important arguments for Basbøll's syllabification are seriously weakened.

(VI) Words like Harry, Lorry, karry ('curry'), sherry ('sherry'), terrier ('terrier'), terrakotta ('terra-cotta'), paritet ('parity'), Karoline are hardly ever pronounced with the consonantal, "syllable initial" allophone [ʋ] of /r/ as predicted by Basbøll's rules (which will treat the /r/ as syllable initial). For distributional conditions on the pronunciation of etymological /r/, see Brink and Lund (1975, p. 261ff). One could, of course, claim that the unstressed, posttonic [i] in sherry, paritet, etc. is /əj/ at the level of syllabification, cf. that such a strategy is used by Basbøll to account for the unstressed suffixes -ig, -(n)ing, and certain occurrences of -isk (1974, p. 88), but still the words with posttonic [y], [o], and [a] make trouble.

(VII) The words Canada, Malaga, Paludan, annuum ('annual grant'), kognak ('brandy') are to my knowledge invariably pronounced [ˈkanˈada, ˈmalˈaga, ˈpalˈudan, ˈanˈuom, ˈkʌnˈiɑg]. If, for a moment, we ignore the stød, their segmental structure after syllabification must be /ka\$na\$da, ma\$la\$ga, pa\$lu\$dan, a\$nu\$om, kɔ\$njag/, according to Basbøll's principles, and this syllabification raises serious problems for the stød, as far as I can see.

Basbøll considers the stød to be a syllable prosody which is assigned to certain syllables by rule (1974, p. 46ff), but it is far from clear where, in the generative phonological derivation, the stød assignment rule is meant to apply; nor is it clear



how it applies. In Basbøll (1974, p. 53) a stød assignment rule (applicable to words of the type represented by the words above) is formulated thus:

$$\left[ \begin{array}{c} S \\ +\text{stress} \end{array} \right] \rightarrow [+st\text{ø}d] / \_ (SS) \# \#$$

Does the symbol S in this rule refer to a syllable complete with peak and boundaries? Apparently not, for in his general discussion of syllabification Basbøll states that "...where the syllable functions as a unit in phonological rules (i.e. typically in rules concerning prosodic features like stress, tone, and stød...), syllabification is not required for the correct application of the rules: what is necessary is only that the number of syllables be known, and this information can possibly be given with an identification of the syllabic peaks" (1974, p. 68). I take this and other passages of Basbøll's to mean that an abstract stød-prosody is assigned (at a relatively early step in the derivation, at least before syllabification) to certain syllabic peaks. The manifestation of the stød, as a glottalization of the first segment after the syllabic peak if that segment is sonorant (including the final part of a (phonetically) long vowel), must, however, be taken care of by a later rule which must be ordered after syllabification and syllable final weakening, since, otherwise, words like Agner (a personal name) ['aγ'nɔ] and edder ('venom') ['eð'ɔ] could not be realized with stød (before syllabification, the postvocalic segment would be an obstruent ([g] or [d]) which cannot receive the (phonetic) stød). A word like Malaga thus has the segmental structure /ma\$la\$ga/ when it is input to the stød manifestation rule, and this means that such a rule will have to disregard \$'s in its structural description (or, within Basbøll's framework, its domain must be larger than the phonological syllable), since the syllable initial /l/ is the stød segment. Although such an arrangement of rules would generate the correct output, I sincerely doubt that such consequences are in accordance with Basbøll's intentions: it is hardly meaningful to claim that the stød is a property of the syllable if it is first assigned to an entity (the syllabic

peak) which may be smaller than a syllable and then specified, by a later rule whose domain is larger than the syllable, as glottalization of a segment which in words like those above belongs to the following syllable. If the conception of the stød as a syllable prosody is not discarded, then it seems inescapable that words like those above must be listed as exceptions to principle (iii).

We shall now take an overall view of these counter-examples and try to evaluate their importance both from the point of view of Basbøll's syllabificational strategy and from the point of view of Danish phonology in general.

Let us consider first the types (I) - (IV). These types have three things in common: a) they contain an intervocalic consonant cluster which on the phonemic level is a possible word-initial cluster (or better: the location of a \$ before such clusters would give rise to a syllable initial cluster which is possible word-initially); b) there is no (transparent) morpheme boundary between their first member and the following full vowel (at least this holds true in the majority of cases); c) they are followed by a full vowel. What is at stake here is thus that part of principle (iii) which locates the \$ in the leftmost position compatible with principle (ii), before a full vowel. From the point of view of Danish phonology, the significant thing about this part of principle (iii) is that it amounts to postulating that a) in homo-morphemic V1C1C2V2-structures where V1 is a short vowel, where V2 is a full vowel, and where C1C2 is one of the phonemic clusters /*(vj vr vl) gj gr gl gn gv dj dr dv*/, these clusters must be manifested as [*(v| vb vl) g| gʊ gl gn gv d| dʊ dv*], respectively, and b) in homo-morphemic V1ngC2V2-structures /*ngj ngr ngl ngn ngv*/ must be manifested as [*ng| ngʊ ngl ngn ngv*], respectively. The above examples show that this does not hold true in all cases. It is obvious, however, that these structures are not of equal importance. As mentioned above, the examples with intervocalic [*u| uʊ u|*] before a full vowel need not per se be detrimental to Basbøll's analysis, and I shall not discuss this type further here, since the analysis of labials poses special problems which are, at least in part, irrelevant to Basbøll's principles of syllabification. They are therefore parenthesized above. Of the remaining clusters, some could perhaps be discarded as marginal or not even valid as counter-examples: the only examples I have

found with "unexpected" manifestations of phonemic /gr/- and /dr/- clusters are Sigrid and Gudrun which might be analyzed as morphemically complex (quasi-compounds or the like) and the same may be said of /ngr/ in Ingrid. The /Cr/-clusters are nevertheless of some interest, because they - like the remaining clusters - have some bearing on the question of possible contrasts between consonants in medial clusters before full vowels, and in the following discussion the relevant /Cr/-clusters will be discussed along with the remaining ones (including the /(n)gj/-clusters for which I have found no counter-examples. The only example with this cluster I can think of is the name Ingjald which is, to my knowledge, invariably pronounced [<sup>1</sup>en<sub>g</sub>j<sub>al</sub>'] in accordance with Basbøll's predictions). The behaviour of these (phonemic) clusters gives rise to the following questions: (1) in the homo-morphemic structures V1C1C2V2 where V1 is a short full vowel, where C2 is one of the non-syllabic segments [ɸ i | n v], and where V2 is a full vowel, is there in position C1 a contrast k-g or a contrast g-ɣ or perhaps a three-way distinction k-g-ɣ? (2) in the structure V1C1C2V2 where V1 and V2 are specified as above and where C2 is one of the non-syllabic segments [i ɸ v], is there a contrast t-d or a contrast d-ð or perhaps a three-way distinction t-d-ð?

My answers to these questions must be split up in the following way (and these answers must be considered tentative hypotheses rather than established truths):

A. The velars.

a) There is a contrast k-g before liquids followed by a full vowel, cp. mikroskop ('microscope'), lukrativ ('lucrative'), acryl ('acrylic'), cyklamen ('cyklamen'), cyklon ('cyclone') [mikɸo<sup>1</sup>sgo<sup>2</sup>b, <sup>1</sup>lukɸa<sub>t</sub>i<sub>u</sub><sup>2</sup>, a<sup>1</sup>kɸy<sup>2</sup>l, sy<sup>1</sup>klæ:mən, sy<sup>1</sup>klo<sup>2</sup>n] vs. agrar ('farmer'), hygrometer ('hygrometer'), agglutinerende ('agglutinating'), Tekla, Hekla [a<sup>1</sup>gɸa<sup>2</sup>?, hygɸo<sup>1</sup>me<sup>2</sup>dɸ, a/αgluti<sup>1</sup>ne<sup>2</sup>ɸnə, <sup>1</sup>teglə, <sup>1</sup>həglə]. The status of [ɣ] in this context is uncertain, cf. the names Sigrid and Børglum.

In di-syllabic trochaic mono-morphemic words, postvocalic [kɪ] seems to be excluded, cp. the words Hekla, Tekla, cyklus ('cycle') (with [gɪ]); it would be interesting to test whether naive speakers of the conservative variant of SD with surfacing [ɣ] would pronounce a fancy name like \*Ragla as [<sup>1</sup>ɸaɣlə] or [<sup>1</sup>ɸaɣlə]. (My own variant of SD (which has a distinct Jutlandic flavour) belongs

here, and I would not hesitate to pronounce this word as [ 'bɑγ|ɑ ]). It will be discussed below whether such trochaic di-syllabic words (or parts of words) can in any sense be considered phonological units of structural significance.

b) There is a contrast g-γ before [n] + full vowel, cp. teknik ('technique'), stryknin ('strychnine'), teknikum ('technical school'), ignorant ('ignorant') [tɛg'nig, sɔbyg'ni:n, 'tɛgnikɔm, igno'βɑn'd] vs. magnet ('magnet'), magnat ('magnate'), magnium ('magnesium'), Ragna, Dagny [mɑγ'ne:d, mɑγ'næ:d, 'mɑγ'niɔm, 'rɑγna, 'dɑγny]; [k] is excluded in this context. These structures are thus clearly at variance with Basbøll's principles.

c) There is not much material on which to base a hypothesis concerning the behaviour of velars between a short vowel and [v] or [i] + a full vowel. It would seem plausible, though, to disregard the names Sigvard, Sigvald and to postulate that there is only a contrast k-g in this context, but it is questionable whether [k] is possible in this context in trochaic words (personally, I would pronounce a fancy word like \*nokva as [ 'nɑgva ]).

B. The alveolars.

a) Before [β] there is a contrast t-d, cp. nitroglycerin ('nitroglycerine'), nitrat ('nitrate') [ 'nitβɔglysə βi:n, ni'tβɑ:d ] vs. hydrogen ('hydrogen'), hydrat ('hydrate') [hydβɔ'ge:n, hy'dβɑ:d].

b) Before [i] the material is sparse. The names Katja and Nadja need not be distinguished (except of course through their initial consonants), but if they are, it is definitely as [ 'katja ] vs. [ 'nadja ]. Very few, if anybody, would pronounce the latter name [ 'naðja ]. A few speakers of Copenhagen standard Danish whom I have interviewed with this in mind would tend to pronounce both names with [-adja] but could distinguish them (in the above mentioned manner) in careful speech. The words adjektiv and, in particular, adjutant and a few more pose the problems discussed under (III) above.

c) Before [v] the situation is probably somewhat different. It is not unlikely that the contrast is d-ð (cf. (III) above), and that [t] is excluded at least in trochaic words.

I conclude that the behaviour of at least the clusters /kn gn/ and perhaps also /kl gl/ is at variance with Basbøll's principles (note, e.g., that cyklist ('bicycle rider') is normally pronounced [syg'li:sd] (the pronunciation [sy/i'kli:sd] given in

ODS is extremely rare, as far as I know); this would presuppose phonemic or underlying /sy'glisd/, but the basic forms cykel, cykle ('bicycle', 'to ride a bicycle') are pronounced [ 'sygəl, 'syglə], presupposing underlying /sykəl, syklə/). If Basbøll's principles are to be amended to cover such facts as the manifestation of /gn gl/ they would probably have to be made sensitive to stress and to the sequences of intervocalic consonants per se.

If the absence of aspirates in many of the above mentioned structures is not accidental, this ought somehow to be stated in a phonology of SD. Within Basbøll's generative framework, such restrictions would probably have to be stated as morpheme structure conditions: a word like bekneb ('trouble') [be'kne?b] shows that the absence of [k] in words like teknik and stryknin is not due to word structure conditions; but in trochaic structures, the absence of aspirates might be due to such word structure conditions. I have not discussed the labial stops in this connection because they do not participate in the gradation process, except in a few words, under specific phonological and stylistic conditions, cf. Basbøll (1975), but it is highly significant that in di-syllabic trochaic words there is probably no contrast of aspiration in the context V1(-long) \_\_CV2 in labial stops either, irrespective of whether V2 is a full vowel or shwa, cf. that the name Pablo must be phonemically /'pablo/ within Basbøll's framework (just as teknik, teknologi, cyklus must be /tɛ'gnik, tɛgnolo'gi?, 'syglus/).

The examples in (V) above show that the distinction between full vowels and shwa is not decisive for the behaviour of /a/ and /o/ in the preceding syllable, or rather: even though /a/- and /o/-adjustment before the relevant consonants invariably takes place when the vowel of the following syllable is shwa, it also takes place in many instances when the following vowel is a full vowel. It is probably significant that in most of these cases (e.g. in almost all the examples listed in (V) above), the full vowel is unstressed (see also Brink and Lund (1975, p. 73)). This is also characteristic of the full vowels before which /r/ is treated as "syllable-final", cf. (VI) above.

The words with stød in segments preceding a full vowel (cf. (VII) above) also seriously weaken Basbøll's claim that the distinction between full vowels and shwa is a (directly) conditioning factor with regard to syllabification, at least if the stød is said to belong to the syllable.

The words pingvin and jonglør (vs. lingvist), cf. IV above, give rise to a particular problem: within Basbøll's framework the only way to account for this distinction seems to be to accept /ŋ/ as an underlying (phonemic) segment distinct from /n+velar obstruent/.

In this section I have only mentioned problems which are not mentioned by Basbøll. I have thus omitted the problems posed by words like ordne ('manage') [ 'ɔ:dnə ] and tordne ('thunder' (vb.)) [ 'tɔpdnə ]; but Basbøll's suggestion that they are underlyingly /ɔrdənə/ and /tordənə/ (1972, p. 200) seems to me somewhat ad hoc: the late shwa-deletion required by such an analysis is not motivated by Danish word structure, cp. vordende ('prospective') [ 'vɔ:dənə ]. In fact, I would find it much more meaningful to claim that the words orden ('order') and torden ('thunder(storm)') [ 'ɔ:dən, 'tɔpən ] from which the verbs ordne and tordne are derived have underlying /ɔr'dn, tɔrdn/ with phonologically motivated shwa insertion (no Danish word can end in [Vpdn]), whereas the verbs do not need such a rule.

#### 4. Concluding remarks

We may conclude that although Basbøll's attempt to account for consonant gradation and vowel adjustment by reference to "phonological syllables" is in many respects empirically successful, there are quite a few phenomena which cannot be adequately described within this framework unless his (admittedly tentative) principles of syllabification are considerably modified. Although many of the counter-examples mentioned above may be considered marginal in the sense that they are lexically sporadic, I think that most of them are phonologically significant, and at least they give rise to some interesting questions concerning Danish word structure.

As mentioned in section 1, the aim of this paper has been the modest one of pointing to some problematic consequences of Basbøll's principles of syllabification. This may seem somewhat unconstructive, but I have nevertheless refrained from discussing whether or not Basbøll's principles could or should be amended to cover the above mentioned phenomena, because such a discussion

would require theoretical considerations (concerning, among other things, the functional and structural status of the syllable) which could be only superficially dealt with within the scope set for this paper.

For the sake of clarity, I shall recapitulate what I consider to be the main results and perspectives of my investigation.

1) It is doubtful whether both vowel adjustment and consonant gradation can be satisfactorily accounted for by reference to phonological syllabification in Basbøll's sense, even if syllable boundaries be inserted quite arbitrarily, cf. the examples in (V) above.

2) In homo-morphemic strings with intervocalic clusters there is a contrast gn-γn irrespective of whether the following vowel is a full vowel or shwa (in younger standards, this need not be a problem for the observational adequacy of Basbøll's principles of syllabification, but it ought to be stated somehow in any phonology of SD that [kn] is systematically excluded in such positions).

3) In di-syllabic trochaic words, the occurrence of intervocalic clusters of the type aspirated stop + sonorant (probably apart from [ʋ]) is probably heavily restricted in normal styles, irrespective of whether the following vowel is a full vowel or shwa. The status of [γʋ, γl, γv, ðʋ, ði, ðv] in this context is uncertain; most of these clusters may not be systematically excluded before full vowels; Basbøll's analysis presupposes that they are excluded in homo-morphemic strings.

These hypotheses ought to be tested; e.g. it would be interesting to present naive speakers with written fancy words like \*Ragla,<sup>1</sup> \*kidvas, \*kaglo, etc.

4) If the stød is considered a syllable prosody manifested as a glottalization of the first post-peak segment (including the last portion of a long vowel) of a syllable, the syllabification of Malaga, annuum, etc. must probably be /mal\$a\$ga, an\$u\$om/ etc. (unless the manifestation of the stød is considered to be independent of phonological syllable boundaries?).

1) In fact, the word raglanfrakke ('raglan') is pronounced [¹ʋaγlan-] (in younger standards: [¹ʋaγlan-]).

5) It might be of some interest to investigate the possibilities of recognizing other phonologically significant units than segments and syllables. We have seen that di-syllabic trochaic words seem to have rules of their own, and it may be fruitful to consider the Danish word to be more hierarchically structured than usually assumed. What I have in mind is a unit larger than the syllable but smaller than the word. Any word consists of one or more such units, and any such unit consists of one salient syllable or of one such syllable followed by one or more subordinate syllables whose vowels may be shwa or one of the full vowels /a o i y u/ but not /e ε ø æ ɔ/. Such a unit would be internally consolidated by certain obligatory structural properties: /a/- and /o/-adjustment, the restricted occurrence of medial aspirated stops before sonorants, the occurrence of at most one stød, and probably some more. According to this conception, variable pronunciations of a word would in some instances be due to different hierarchizations: a-kva-vit [akva<sup>1</sup>vid] or akva-vit [αgva<sup>1</sup>vid], cy-klo-tron [syklo<sup>1</sup>tʁoːn] or cyklo-tron [syglo<sup>1</sup>tʁoːn], etc.

I am fully aware that such a description would also have its costs; e.g. it would presuppose the hierarchization of each word, to be a phonemic property or at least a property not exclusively predictable from the sequence of segments. Nevertheless, this hierarchical treatment may be worth while exploring.

I think the data and hypotheses presented in this paper deserve consideration in future work on Danish phonology, irrespective of whether or not syllables or larger units are recognized as phonological units in their own right.

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